

BEYOND EINSTEIN:  
from the Big Bang to Black Holes

SEU Roadmap Team  
[Sample cover layout](#)

September 3, 2002

# Introduction

## 0.1 Preface

Giant  $E = mc^2$  in background. And [FIGURE](#) like this of [Einstein Statue](#) in front of NAS building.

At the beginning of time, the Universe was formless energy. In time this energy transformed into the richly complex matter of which we and all we touch are made. The Structure and Evolution of the Universe (SEU) theme within NASA's Office of Space Science seeks to explore and understand the dynamic transformations of energy in the Universe—the entire web of biological and physical interactions that determine the evolution of our cosmic habitat. This search for understanding will enrich the human spirit, and inspire a new generation of explorers, scientists and engineers.

Many science objectives encompassed by the SEU theme have been given high priority by the science community, and could be realized within the next 25 years. This roadmap draws upon broad community input, and is in good accord with the specific recommendations of recent consensus reports of the National Academy of Sciences.

This roadmap also recognizes that, within the resources available, not all of these science objectives can be undertaken immediately. Constructing a roadmap entails making hard choices.

The SEU theme's highest priorities are presented in the *Beyond Einstein* program (Part I). A roadmap is presented for realizing these objectives starting now. The science objectives described in the Cycles of Matter and Energy program (Part II) are presented with the understanding that this program will be undertaken after *Beyond Einstein* has begun. Part III details continuing activities vital to maintaining the technical base to implement these missions and develop future ones: the Research and Analysis program, the Explorer program, and critical factors.

## 0.2 BEYOND EINSTEIN: From the Big Bang to Black Holes

How did the Universe begin? Does time have a beginning and an end? Does space have edges?

Einstein’s theory of relativity replies to these ancient questions with three startling predictions: that the Universe is expanding from a **Big Bang**, that **black holes** so distort space and time that time stops at their edges, and that a **dark energy** could be pulling space apart, sending galaxies forever beyond the edge of the visible Universe. Observations confirm these remarkable predictions, the last only 4 years ago. Yet Einstein’s legacy is incomplete. His theory raises —but cannot answer three profound questions:

1. What powered the Big Bang?
2. What happens to space, time and matter at the edge of a black hole?
3. What is the mysterious dark energy pulling the universe apart?

The *Beyond Einstein* program aims to answer these questions. It will employ a series of missions linked by powerful new technologies and complementary approaches to shared science goals.

1. “Einstein Great Observatories”: Facility-class missions
  - Constellation-X: Uses X-ray-emitting atoms as clocks to follow the fate of matter falling into black holes and study the evolution of the Universe.
  - LISA: Uses gravitational waves to sense directly the changes in space and time around black holes and to measure the structure of the Universe.

These missions are ready to pioneer technologies and approaches needed for the Vision Missions to reach the ends of space and time.

2. “Einstein Probes”: Fully competed, moderate-sized, PI-class missions launched every three years
  - Dark Energy Probe: Determine the properties of the Dark Energy that dominates the Universe.
  - Inflation Probe: Detect the imprints left by quantum effects and gravitational waves at the beginning of the Big Bang.
  - Black Hole Probe: Take a census of Black Holes in the local Universe.

These will answer sharply focused questions. Competition ensures flexibility, and will keep costs low by selecting methods and technologies for readiness.

3. Programs of technology development and research for the above missions, and to prepare for two “Vision Missions” reaching to the ends of space and time:

- A Big Bang Observer to detect directly gravitational waves echoing from the earliest moments of the Big Bang.
- A Black Hole Imager to directly image matter near the edge of a black hole.

The science questions of *Beyond Einstein* fascinate the news media, the entertainment industry, and the American public. *Beyond Einstein* propels this fascination, developing an education component that enthralls students *and* is aligned with national standards. It will be a potent force with which to enhance science education and science literacy.

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# Part I: Beyond Einstein

*Beyond Einstein* Objectives and RFAs.

OBJ-BE1 What powered the Big Bang? [Find out what powered the Big Bang.]

RFA-BE1 Search for gravitational waves from inflation and phase transitions in the Big Bang.

RFA-BE2 Determine the size, shape, age and energy content of the Universe.

OBJ-BE2 What happens to space, time and matter at the edge of a black hole? [Observe what black holes do to space, time and matter.]

RFA-BE3 Perform a census of black holes throughout the universe.

RFA-BE4 Determine how black holes are formed, and how they evolve. (can be merged w/ prev by how → how/when/where).

RFA-BE5 Map spacetime throughout the Universe and near the event horizons of black holes.

RFA-BE6 Observe stars and gas plunging into black holes

OBJ-BE3 What is the mysterious dark energy pulling the Universe apart? [Identify the mysterious dark energy pulling the universe apart.]

RFA-BE2 Determine the size, shape, age and energy content of the Universe.

RFA-BE7 Determine the cosmic evolution of the dark energy pulling the Universe apart.

*Beyond Einstein* is a bold attack on the deepest mysteries of nature. It will study the building blocks of our own existence at the most basic level: the matter, energy, space and time that create the living Universe. *Beyond Einstein* missions will extend the reach of humanity to the ultimate extremes: the birth of the universe, the edges of space and time near black holes, and the darkest space between the galaxies. Together these studies will help us understand how the matter and energy of the Universe come to life.

*Beyond Einstein* missions will connect humans to the vast Universe far beyond the solar system, to the entirety of creation. They will extend our senses beyond what we can imagine today: to the largest and smallest things, the beginnings and ends of time and space. The images and knowledge gained in this quest will inspire all humanity—as only NASA can.

“Ah, but a man’s reach should exceed his grasp, or what’s a heaven for?”

—*Robert Browning*

# Chapter 1

## Beyond Einstein: Executive Summary

**FIGURE** TIME magazine cover Dec 31, 1999: Einstein “Person of the Century”

Figure 1.1: The discoveries of Albert Einstein sparked the scientific revolution of the 20th century. They rank among humanity’s greatest achievements. His work raised mysterious questions about the nature of our Universe. Recent developments show that we can now find the answers and discover the mysteries of the Universe that await us...

## 1.1 Beyond Einstein

How did the Universe begin? Does time have a beginning and an end? Does space have edges? The questions are clear and simple. They are as old as human curiosity. But the answers have always seemed beyond the reach of science. Until now.

In their attempts to understand how space, time, and matter are connected, Einstein and his successors made three predictions. First, space is expanding from a Big Bang; second, space and time can tie themselves into contorted knots called “black holes” where time actually comes to a halt; third, space itself contains some kind of energy that is pulling the Universe apart. Each of these three predictions seemed so fantastic when they were made that everyone, including Einstein himself, regarded them as unlikely. Incredibly, all three have turned out to be true. Yet Einstein’s legacy is one of deep mystery, because his theory is silent on three questions raised by his fantastic predictions: 1) What powered the Big Bang? 2) What happens to space, time and matter at the edge of a black hole? 3) What is the mysterious dark energy pulling the Universe apart?

To find the answers, we must venture beyond Einstein. They require new theories, such as the inflationary universe and string theory. Like Einstein’s theory, these make fantastic predictions that seem hard to believe: new unseen dimensions and entire universes beyond our own. We must find facts to confront and guide these new theories. Powerful new technologies now make this possible.

Here is where the *Beyond Einstein* story starts. By exploring the three questions that are Einstein’s legacy, we begin the next revolution in understanding our Universe. We chart our way forward using clues from observations and from new ideas connecting the worlds of the very small and the very large.

### 1.1.1 What powered the Big Bang?

During the last decade, sky maps of the radiation relic of the Big Bang —first by NASA’s Cosmic Background Explorer (COBE) satellite, and more recently by other experiments including Antarctic balloon flights and NASA’s MAP spacecraft— have displayed the wrinkles imprinted on the Universe in its first moments. Gravity has pulled these wrinkles into the lumpy Universe of galaxies and planets we see today. Yet still unanswered are the questions: why was the Universe so smooth before, and what made the tiny but all-important wrinkles?

Einstein’s theories led to the Big Bang model, but they are silent on these questions and even the simple ‘what powered the Big Bang?’ Modern theoretical ideas that try to answer these questions predict that the wrinkles COBE discovered arose from two kinds of primordial particles: particles of the energy field that powered the Big Bang, and gravitons, fundamental particles of space and time.

**FIGURE:** COBE 4-year sky map

Figure 1.2: Wrinkles in the radiation relic of the Big Bang discovered by NASA’s COBE satellite in 1992. “.... possibly the greatest discovery of the century.” —Stephen Hawking

Gravitational waves are vibrations in the fabric of space and time. Gravitons are their quanta. Unlike photons (the quanta of light), gravitons interact hardly at all with matter, so our senses have never before detected them. The light we see from the Big Bang, the Cosmic Microwave Background, last bounced off matter when the Universe was 300,000 years old. Gravitons from the Big Bang have been dancing toward us unchanged since the Universe was  $10^{-34}$  seconds old!

Measurements by a mission of the *Beyond Einstein* program could separate these different contributions, allowing us to piece together the story of how time, space, and energy worked together to create the Big Bang.

### 1.1.2 What happens to space, time and matter at the edge of a black hole?

“The black holes of nature are the most perfect macroscopic objects there are in the universe: the only elements in their construction are our concepts of space and time.” **FIGURE:** Photo of Chandrasekhar —Subrahmanyan Chandrasekhar [Nobel prize, 1983]

The greatest extremes of gravity in the universe today are the black holes formed at the centers of galaxies and by the collapse of stars. These invisible bodies can be studied by examining matter swirling into them, and by listening to the ripples they make in spacetime. New data from X-ray satellites such as NASA’s Chandra X-ray Observatory and ESA’s XMM-Newton give our first glimpses of gas whizzing about black holes at close to the speed of light, and hint that time is slowing as the gas plunges into the zone from which escape is impossible. *Beyond Einstein* missions will take a census of black holes in the Universe, and give detailed pictures what happens to space and time at the edges of these roiling vortices.

*Beyond Einstein* missions will listen to the sounds of spacetime carried by a new form of energy, predicted by Einstein, called gravitational waves. We will hear the booming, hissing, and humming of colliding and merging black holes and other extreme flows of matter throughout the Universe, detailing the conversion of matter and energy into warps in space and time. The detection of gravitational waves will provide a new way of understanding the behavior of space and time near black holes, and take us beyond to a new understanding of spacetime singularities.

Einstein himself never dreamed that it would be possible to detect these waves, which only vary the distance between objects as far apart as the Earth and Moon by less than the width of an atom. Yet the technology now exists to do so.

When we talk about observing the sky in radio waves or X-rays we talk about “seeing” things, even though our eyes cannot see radio waves or X-rays. Similarly, we will refer to “hearing” gravitational waves even though they are vibrations in the fabric of space-time, not the vibrations of water or air that our ears hear.

### 1.1.3 What is the mysterious dark energy pulling the Universe apart?

A landmark discovery of the 1990s was that the expansion of the Universe is accelerating. The source of this mysterious force opposing gravity we call “dark energy.”

Because he originally thought the universe was static, Einstein conjectured that even the emptiest possible space, devoid of matter and radiation might still have a dark energy, which he called a “Cosmological Constant.” When Edwin Hubble discovered the expansion of the Universe, Einstein rejected his own idea, calling it his greatest blunder.

“I found it very ugly indeed that the field law of gravitation should be composed of two logically independent terms which are connected by addition. About the justification of such feelings concerning logical simplicity it is difficult to argue. I cannot help to feel it strongly and I am unable to believe that such an ugly thing should be realized in nature.”

—Albert Einstein in a Sept 26, 1947 letter to Georges Lemaître [permission to quote granted by the Albert Einstein Archives, the Hebrew University of Jerusalem, as well as by the Einstein Papers Project.] Possible [FIGURE](#) of Einstein and Lemaitre together.

As Richard Feynman and others developed the quantum theory of matter, they realised that “empty space” was full of temporary (“virtual”) particles continually forming and destroying themselves. Physicists began to suspect that indeed the vacuum ought to have a dark form of energy, but they could not predict its magnitude.

“In modern physics, the vacuum is simply the lowest energy state of the system. It need not be empty nor uninteresting, and its energy is not necessarily zero.” [—From NAS CPU report]. [FIGURE: Richard Feynman at work](#). or [FIGURE](#) or [FIGURE](#) [Caltech Archives can supply high-res.]

Through recent measurements of the expansion of the Universe, astronomers have discovered that Einstein’s “blunder” was not a blunder: some form of dark energy does indeed appear to dominate the total mass-energy content of the Universe, and its weird repulsive gravity is pulling the Universe apart.

FIGURE: LISA and FIGURE: Con-X images [better if flying trashcans minimized...?]

The dark energy filling your house has just enough energy for a flea to make one jump. Yet across the immense volume of the Universe, this energy can overcome the gravitational attraction of all the billions of galaxies. It could be forcing the Universe apart so fast that when the Universe is ten times its present age, only a few galaxies will still be visible. All the rest will have become unobservably faint and red, frozen on the sky like objects falling into an inside-out black hole.

A *Beyond Einstein* mission will study the expansion closely enough to learn whether this energy is a constant property of empty space (as Einstein conjectured), or whether it shows signs of the richer structure that is possible in string theory.

## 1.2 The Beyond Einstein Program

Two facility-class missions, Constellation-X and LISA. A focused line of PI-class moderate-sized probes. A forward-looking program of technology and theory development. These lead towards our visions: to detect directly those gravitational waves which have been traveling toward us unchanged since the Universe was  $10^{-34}$  seconds old, and to image directly matter near the edge of a black hole.

### 1.2.1 Einstein Great Observatories

The Laser Interferometer Space Antenna (LISA) will deploy three spacecraft orbiting the sun, separated from each other by 5 million kilometers. Each spacecraft will contain freely falling ‘proof masses’ protected from all forces other than gravity. The relative motion of the masses can be measured to sub-nanometer accuracy by combining laser beams shining between the spacecraft. Passing gravitational waves will ripple space and time, revealing their presence by altering the motion of the proof masses.

LISA will probe space and time at the forming edges of black holes by listening to the sounds of vibrating spacetime: the booming roar of supermassive black holes merging, the chorus of death cries from stars on close orbits around holes, the ripping noise of zipping singularities, and possibly even whispers from the time in the early Universe when our three-dimensional space formed within the now unseen space of six or seven dimensions. LISA will plot the orbits of stars around holes to test Einstein’s theory under extreme conditions.

The Constellation-X mission will consist of four 1.6m X-ray telescopes orbiting the earth/sun system, providing nearly 100 times the collecting area of previous instruments. They will be instrumented with detectors covering a range of more than a factor of 100 in X-ray energy, with unprecedented energy resolution.

Constellation-X will address the question “What happens to matter at the edge of a black hole”. When gas streams collide at nearly the speed of light, they become hot enough to emit X-rays. The vibrations of the X-ray light act as clocks that we can use to track the motions of the gas and the distortions of space and time near the hole. The great sensitivity

RFA-  
BE1,2,4,5,6

RFA-  
BE5,6



Probe-related images. A must have: the SEU composition pie chart [FIGURE](#) or less competitively [FIGURE](#) Some other snappy choices: [FIGURE](#) or [FIGURE](#) An existential figure: [FIGURE](#)

of Constellation-X will allow us to make “slow-motion movies” of the gas at a high frame rate. Current instruments are not sensitive enough for the short exposures needed to freeze the motion.

Black holes grow both by accreting gas and by accreting stars. They change both by ejecting gas and merging with other black holes. Together, LISA and Constellation-X cover all four of these processes needed to understand the origin and nature of the giant black holes in the centers of galaxies.

## 1.2.2 Einstein Probes

Complementing these facility-class observatories, a series of sharply focused missions will allow NASA to respond quickly to exciting new science. For these missions, the science question will be defined but the scientific approach and technology will be determined by peer review. We identify three compelling questions whose answers can take us beyond Einstein.

*“How did the Universe begin?”* Physicists believe the Universe began with a period of “inflation”, when the Universe expanded so rapidly that parts of it separated from other parts faster than the speed of light. Inflation theory predicts that this expansion was propelled by a quantum-mechanical energy of the vacuum similar to the dark energy today. It may hold the answer to the question *“What powered the Big Bang?”* One way to test this idea is to look for relics of quantum fluctuations. Gravitational waves are the most direct relics since they penetrate the heat and density of those early days. It is technically feasible to look for the quantum effect of gravitational waves and distinguish them from the quantum effects of the primordial energy, by examining their distinctive effects on the polarization of the cosmic microwave background. An *“Inflation Probe”* with this capability would help to define the nature of the vacuum that drove inflation.

RFA-  
BE1,2

*“What is the mysterious energy pulling the Universe apart”* is a question that would not have been asked five years ago, before there was evidence that the Universe was being pulled apart. To understand this energy, we must measure the expansion of the Universe with high precision. This will require the most precise cosmic yardsticks we can find. Several ideas for such *“Dark Energy Probes”* have been proposed—for example, precision measurement of distant supernovae by a wide-field space telescope.

RFA-  
BE7

*“How did black holes form and grow?”* Most astronomers believe that the black holes in the centers of galaxies grew by swallowing stars and gas, emitting light in the process. But there is an accounting problem: not enough light is coming from black holes in active galaxies to explain their growth. There are hints that much of the growth occurred behind a veil of dust. One way to see into these dark corners is to use most penetrating of X-rays. The *“Black Hole Finder Probe”* will perform a census of hidden black holes. Combining this data with studies of accretion by Constellation-X and of black hole mergers by LISA will reveal how giant black holes formed.

RFA-  
BE3,4

### 1.2.3 The Ultimate Vision

The technology to go far beyond Einstein is within our reach, if we approach the grand goals systematically, mission building upon mission. Strategic investments in hardware, software and astrophysical theory will lead the way forward to two visions:

To explore the beginning of time, a “Big Bang Observer” will build on LISA to *directly* measure graviton quanta still present in the Universe today, with periods of order 1 second. Unlike the frozen imprints of much longer waves on the microwave background, they will be observed in their original form of primordial gravitational waves. This observatory would give us a direct view of the creation of space-time, a truly profound achievement.

Constellation-X will detect the spectral signatures of gas swirling into black holes, and LISA will record the tune to which stars dance around it. But there is no substitute for a direct picture. A “Black Hole Imager” based on X-ray interferometry could take this epochal picture.

RFA-  
BE1,2

RFA-  
BE6

|   |
|---|
| Mission flow chart: <a href="#">FIGURE</a> to be beautified/added to. |
|---|

### 1.2.4 Technology

While the enabling foundations are well in hand, the *Beyond Einstein* program demands extensive refinements in technology. Constellation-X will need lightweight optics and cryogenic X-ray calorimeters. To keep LISA’s test masses free of nongravitational forces, it needs sensitive monitoring units coupled to microNewton thrusters. It will also require extraordinarily stable laser measurement systems. With appropriate investment, these can be developed within a few years. The vision missions Black Hole Imager and Big Bang Observer need still greater precision in spacecraft pointing and control. The Einstein Probes require study of a broad range of technologies, so that the most effective approach to their science goals can be chosen.

### 1.2.5 Research and Analysis

The R&A program is the cradle for the technology and theory of NASA space science missions. It is the first step in a process that turns ideas into missions, as well as a final step turning missions into scientific advances. NASA’s R&A program draws heavily on the resources of our universities, providing an additional benefit: the training of students who become the architects and builders of future missions. The Einstein Probes require new detectors, for which ground-based and balloon tests will be essential. Laboratory measurements of atomic data are necessary to link observations to scientific conclusions.

Theory provides the intellectual context for any scientific effort, and R&A funding has historically dominated its support. Theoretical work is essential to the conception and design of missions, and to the interpretation of the data they provide —especially for the *Beyond Einstein* missions, which are designed to test predictions which challenge our beliefs.

### 1.2.6 Education and Public Outreach

*Beyond Einstein* offers an unparalleled opportunity to involve the public in the excitement of

Some public outreach figures. For example [FIGURE](#) or [FIGURE](#) Roy Gould is to take photo of kids at museum opening.

cosmic exploration, and to inspire and cultivate the next generation of scientists. The public's eagerness to share this adventure is reflected in part by the many Hollywood movies, television series, best-selling books, and popular articles that draw on *Beyond Einstein* themes. The origin of the Universe and black holes are central to K-12 science literacy standards and curricula. The television shows and educational materials for "Live from a Black Hole" and "Live from the Edge of Space," reached an estimated 5 million students. The *Beyond Einstein* themes will soon provide the *majority* of materials on these subjects in our nation's schools.

*Beyond Einstein* missions will weave an ongoing story that is one of the most compelling in all science. Public television's NOVA program on dark energy (*Runaway Universe*) was seen by more than 2.1 million Americans — almost as many as watch all three cable news networks combined. More Americans visit science museums and planetariums than attend all sporting events combined — more than 120 million in 2001 — and the *Beyond Einstein* theme remains a favorite there.

### 1.2.7 Einstein's Legacy

Einstein sought, but never achieved, an understanding of how nature works at its deepest level. We now seek the next level of understanding through a program of missions we can conceive and design today, and carry out over the next decade. In the far future the "vision missions" of this roadmap will extend these ventures even closer to the edges of space and time. We will follow matter to the very brink of black holes, and detect the gravitational quanta from inflation— "particles of time" left over from the beginning. We will use use NASA's technology to see beyond the vision of Einstein —to the uttermost extremities of existence.

## **Chapter 2**

# **Beyond Einstein: Scientific Goals and Missions**

FIGURE:

Figure 2.1: Arno Penzias and Robert Wilson and the historic Bell Labs horn antenna, discoverers of the relic Cosmic Microwave Background of the Big Bang.

## 2.1 Beyond Einstein: The Science

“The most beautiful thing we can experience is the mysterious. It is the source of all true art and science. Those to whom this emotion is a stranger, who can no longer pause to wonder and stand rapt in awe, are as good as dead: their eyes are closed.”

—*Albert Einstein*

A century ago, Albert Einstein began creating his theory of relativity—the ideas we use to understand space, time and gravity—and took some of the first steps towards the theory of quantum mechanics, the ideas we use to understand matter and energy. *Time* named Einstein the “Person of the Century” because his ideas transformed civilization, but his work is not finished: spacetime is not yet reconciled with the quantum.

Einstein’s general theory of relativity opened possibilities for the formation and structure of the Universe which seemed unbelievable even to Einstein himself, but which have all been subsequently confirmed: that the whole Universe began in a hot, dense Big Bang from which all of space expanded; that dense matter could tie spacetime into tangled knots called black holes; that “empty” space might contain energy with repulsive gravity. Despite these discoveries, we still do not understand conditions at the beginning of the Universe, how space and time behave at the edge of a black hole, or why distant galaxies are accelerating away from us. These phenomena represent the most extreme interactions of matter and energy with space and time. They are the places to look for clues to the next fundamental revolution in understanding—Beyond Einstein.

### 2.1.1 The Beginning of Time

OBJ1: What powered the Big Bang?

The Universe is expanding, and abundant evidence now shows that it began in a hot, dense state—the Big Bang. The general theory of relativity explains how the expanding Universe works, but on its own it does not explain what made the Big Bang happen in the first place.

Clues are found in the relic heat from the Big Bang, the Cosmic Microwave Background (CMB), that has been travelling to us since the Universe was 300,000 years old. Observations reveal minute temperature fluctuations in it. These show that the matter content of our Universe, while remarkably smooth when the relic heat began its journey to us, had already been imprinted with perturbations at a much earlier time. These have now grown into the galaxies of stars which illuminate our sky. We are therefore faced with a sharp question: Why has matter in the Universe *clumped* into galaxies and clusters of galaxies spread *smoothly* throughout space?

## FIGURE:

Figure 2.2: The COBE/DMR four-year map of cosmic background radiation temperature, covering most of the sky. The hottest and coldest spots correspond to temperature about 100 microkelvin above and below the mean value of 2.725 K.

## FIGURE:

Figure 2.3: BOOMERanG CMB map

In 1978 Arno Penzias and Robert Wilson received the Nobel prize for their 1965 discovery of the cosmic microwave background (CMB), which showed that our Universe began with a hot, and nearly uniform Big Bang. This microwave radiation has been propagating towards us since the atoms in the Universe formed, when the Universe was 300,000 years old.

Within a few years of this discovery, theoretical astrophysicists around the world (R.K. Sachs, Arthur Wolfe, James Peebles, J.T. Yu, Rashid Sunyaev and Yakov Zel'dovich) predicted that because the Universe today is not uniform, the CMB should not look precisely uniform either. It should show seeds of the irregularities which would later turn into clustering galaxies. In 1989, NASA launched the Cosmic Background Explorer (COBE), and it discovered these predicted nonuniformities.

In 2001, a NASA balloon flight, BOOMERanG, for the first time mapped the details of the microwave background fluctuations in a small region of the sky.

The Microwave Anisotropy Probe (MAP), an NASA MIDEX mission launched in June 2001, is making measurements of these small-scale nonuniformities over the entire sky. The resulting map should reveal the geometry of the Universe and the nature of primordial perturbations. MAP will also help determine the baryon density, Hubble parameter, dark-matter density, and dark energy density.

“Inflationary cosmology” provides one explanation of why the Universe is very smooth, yet not perfectly so. A mysterious new field generated a repulsive force, which caused the early Universe to expand at a fantastic rate. This expansion stretched and smoothed any existing inhomogeneities in spacetime.

But the inflation field, like all energy fields, was subject to quantum fluctuations. These led to imperfections in the cosmic expansion —the Big Bang got a slightly bigger kick in some places than in others. The effect of a single quantum fluctuation was enormously inflated along with the Universe itself. Sky maps of the CMB show a pattern of fluctuations very much like that predicted by inflation.

Nevertheless, we are far from certain that the inflationary scenario is correct. Even if inflation is the right story, the details of the process remain a mystery. We need new data to help decide whether the early Universe underwent a period of rapid inflation, and if so what was the mechanism responsible for driving it.

We now understand a way to uncover these secrets. Calculations predict that in addition

FIGURE, and FIGURE or FIGURE

Figure 2.4: The sun and planets of the solar system very slightly bend space and time, causing them to fall around each other, and satellites to fall around the earth. A black hole bends space and time so tremendously that at its edge time stops, and nothing can escape falling into it.

to its energy field fluctuations, inflation should have created single “particles of spacetime,” called gravitons. The largest of these gravitational waves (with periods of 3 billion years!) should have left a subtle pattern in the polarization of the light of the CMB.

The “Inflation Probe” will seek this subtle pattern. The strength and details of the pattern will tell us about the properties of the mysterious inflation field that powered the Big Bang.

### 2.1.2 Edges of Spacetime and Black Hole Horizons

|   |
|---|
| OBJ2: What happens to space, time and matter at the edge of a black hole? |
|---|

Most of what we know about gravity comes from experiments within the Solar System, where gravity is weak. These confirm Einstein’s theory that gravity is the one universal force connecting all forms of mass and energy. It is universal because it is a property of space and time itself.

Einstein’s general theory of relativity predicts that gravity should appear in its purest form in two ways: in vibrations of spacetime called gravitational waves, and in dense knots of curved spacetime called black holes. So far we have only circumstantial indications that these two astonishing predictions are true. *Beyond Einstein* missions will obtain *direct* evidence. Only data collected from these alien regimes can enable us to find out whether Einstein’s theory is complete.

If it is, Einstein’s theory tells us that a black hole is made of pure gravitational energy. It can have mass and spin, but should contain no matter. Though we know the Universe contains many black holes, we have yet to see one in detail. The general theory of relativity provides a mathematical picture of what one should be like. At the heart is a singularity, where space and time are infinitely curved and energy is infinitely concentrated. Around the singularity is a region from which nothing can escape. The edge of this region is called the event horizon. There time is so warped that it seems from outside to have stopped.

Because  $E = mc^2$ , the energy of curved spacetime has mass. A black hole is a knot in spacetime so curved that the mass-energy of the curvature can keep the knot from unravelling. To describe everything about an isolated black hole, one needs only two numbers: its mass, and its spin. No other deviations from smooth perfection are possible: no mountains, nor magnetic fields, nor anything else: “black holes have no hair.”

*[suggested graphic: picture of smooth and shaggy black hole with X thru it]?* Because of this rule, the orbits of stars and other bodies around black holes are determined entirely by the mass and spin of the black hole. The orbiting bodies vibrate spacetime. The LISA mission will use these vibrations (gravitational waves) to track their orbits, and show whether the black holes are really as bald as Einstein’s theory predicts.

How could we find out if such objects really behave in this weird way? We could drop an astronaut near a black hole. As she fell in, Einstein predicts that the hands of her watch would appear to us to slow down and practically stop as she approached the event horizon. But she and her watch would fade from view so rapidly that we could never see her (or her watch) cross the event horizon. Yet to the falling astronaut, everything would seem normal as she crossed the event horizon. Unfortunately once across, nothing could save her. Tides would rip her to pieces near the central singularity.

Fortunately, there are more humane ways to find out if black holes are really as Einstein predicts. We can instead observe radiation from atoms of gas as they fall in. The frequency of their light is like the ticks of a clock. Changes in that frequency are caused by the motion of the gas—the familiar “Doppler effect” change in tone you hear as a police siren races past—and by the gravitational redshift due to spacetime curvature. Watching the spectra of these flows can thus reveal many details of the matter and its spacetime environment.

Fortunately, the light from these atoms can be very bright. Streams of matter falling into a black hole accelerate to nearly the speed of light; when they collide, they heat up and radiate enormous amounts of light. A car powered with a black hole engine would get a billion miles to the gallon. Mass-energy not radiated falls into the hole, adding to its mass and spin. The spin of the hole can give matter nearby a kick, and with the aid of magnetic fields can even accelerate it into powerful jets of outflowing particles.



NASA's Chandra X-ray Observatory was launched in 1999. It is named after the Nobel Laureate Subrahmanyan Chandrasekhar, who developed the detailed mathematical theory of collapsed stars. Some of the Observatory's greatest discoveries include:

- Evidence for black holes of mass intermediate between those of stars and the supermassive black holes in galactic nuclei. [FIGURE](#)
- Evidence that the X-ray background is produced by black holes so obscured by gas and dust as to be invisible to optical telescopes. [FIGURE](#)
- Evidence for astonishingly bright clumps or rings of matter falling into black holes.
- Evidence that black holes in binary star systems are indeed bottomless holes where time comes to a halt, as Einstein's theory predicts.

The *Beyond Einstein* program will systematically determine the fate of this matter. Black Hole Finder Probe will survey the Universe seeking radiation from matter falling into black holes and mapping their locations; Constellation-X will study the spectra of atoms as they fall in; and in the distant future, Black Hole Imager will create moving images of the swirling matter right down to the edge of the event horizon.

### 2.1.3 Cosmic Cacophony: Gravitational Waves

OBJ2: What happens to space, time and matter at the edge of a black hole?

Black holes can also be studied by listening for the “sounds” they create, a new form of energy called gravitational waves.

Since ancient times, astronomers have used one form of energy to study the Universe. Called simply “light”, it includes X-rays and radio waves and all the colors of the rainbow in between. Light is made of vibrating waves of electric and magnetic fields travelling through space.

In Einstein's theory of gravity, energy can also be carried by vibrating waves of space and time, which travel at the speed of light. In the same way that black holes are made just of space and time, gravitational waves are also “pure” space and time. They interact very weakly with matter, and penetrate anything without losing strength. While this makes them powerful probes of extreme conditions, it also makes them hard to detect. They interact so weakly with measuring apparatus that only in the past few years has technology advanced to the point that we are sure we can build equipment to detect them.

The most powerful outflows of energy in the Universe are not carried by light, but by gravitational waves emitted when two black holes orbit, collide, and merge. In the final minutes or hours before the merging of a single pair of black holes, a gravitational power of

about  $10^{52}$  Watts is radiated. This is a million times more power than all the light from all the stars in all the galaxies in the visible Universe put together, and millions of times more powerful than the most powerful single sources of light: gamma-ray bursts. It is possible that the Universe contains more of this gravitational radiation than it does light.

In 1967, the first radio pulsar was discovered by Jocelyn Bell and Antony Hewish (for which Hewish received the Nobel Prize in 1974). Pulsars were quickly identified as neutron stars, the incredibly compressed remnants of the supernova explosion of stars.

In 1974 Russell Hulse and Joseph Taylor discovered the first binary pulsar PSR 1913+16: two neutron stars orbiting each other every 8 hours. The general theory of relativity predicts that as the stars orbit each other, they stir spacetime around them and radiate gravitational waves, causing them to spiral together.

In 1993 Taylor and Hulse received the Nobel prize for the discovery that since 1974, the neutron stars have been spiralling towards each other at exactly the rate Einstein's theory predicts.

Preferred FIGURE: page 69 of [gr-qc/0103036](#)

(Taylor may be able to supply original; I have nowhere else seen this version with points after 1996) Consider also putting here LIGO box now in section 2.3.2.

Detecting gravitational waves will give Einstein's theory a workout it has never had before. We know that it works pretty well in normal circumstances —without “spacetime curvature technology” in their software, airplanes using GPS navigation would miss their runways by miles— but gravitational waves offer much more profound potential. They will let us listen carefully to the most violent events in the Universe, the collision and mergers of black holes. What goes on there is a swirling knot of spacetime interacting mostly with itself. A black hole merger can also briefly expose to observation the singularity at the heart of the black hole, where Einstein's theory must fail. The sounds of the Universe will tell us how well Einstein's ideas still work in these extreme conditions. They will also allow us to penetrate times and places impossible to see with ordinary light, such as the birth of our Universe. They might reveal startlingly violent events, such as the formation of our three dimensional space from an original space with more dimensions.

Gravitational waves produce exquisitely small jiggles between masses that are floating freely in space, isolated from all forces other than gravity. The distances between the masses can be monitored using laser interferometry. An early generation of such systems has now been deployed on the ground —the LIGO observatories in the US, and similar systems worldwide. It is hoped that these systems will make the first detection of gravitational waves from the loudest sources. The *Beyond Einstein* flagship mission LISA will be far more sensitive and will work in a broader and lower frequency band. It will detect signals from a wide variety of sources.

The most powerful gravitational waves come from quickly-changing systems with very strong gravity, so LISA's strongest signals will probably be tones from very loud binary massive black holes. LISA will also detect for the first time gravitational waves from calibrator sources (such as orbiting pairs of white dwarf stars) which have been studied by optical telescopes.

LISA will break ground for the new science of gravitational wave astronomy. The vision mission Big Bang Observer will extend the reach of gravitational wave astronomy towards its ultimate limit —detecting the quantum noise from the inflationary universe.

## 2.1.4 Dark Energy and the Accelerating Universe

**OBJ3:** What is the mysterious dark energy pulling the universe apart?

Deep as Einstein’s general theory of relativity may be, it remains silent on a profound question: Is empty space really empty? Einstein introduced a “cosmological constant” —a symbol,  $\Lambda$ , with an unknown value— into his equations, to represent the possibility that even empty space has energy and couples to gravity. The value of  $\Lambda$  is set by parts of physics beyond Einstein’s understanding —and our own.

The new discovery that the expansion of the universe appears to be accelerating suggests the presence of something dubbed dark energy that drives space apart. It seems likely that we have roughly measured the value of  $\Lambda$  or something like it.

This new discovery is already widely accepted because it explains many observations. The first indication was that the rate of expansion of the Universe has been increasing, revealed by Type Ia supernovae. Supporting evidence comes from studies of global geometry, structure formation, cosmic age, and galaxy clustering. They leave little doubt that in some sense Einstein’s “cosmological constant” is a reality. The energy of the universe is dominated by empty space, and its gravity is repulsive.

But we have no theory of dark energy; anything we learn is an unexpected discovery. Our current understanding of how quantum mechanics and gravity are united predicts an amount of dark energy larger than observed by a famous factor of  $10^{120}$ . Some modern theories predict that the amount of dark energy decreases with time, instead of staying constant as in Einstein’s conception. For this very reason, dark energy is the most exciting new development in fundamental physics. When we understand the physics of the dark energy, we will probably also understand the physics that controls the future of the universe, so we will be able to answer another profound question: will the universe last forever?

It was Edwin Hubble’s discovery of the expansion of the universe that caused Einstein to declare his introduction of the Cosmological Constant (a form of dark energy) to make the universe static “my greatest blunder”.

Ironically, it has been Hubble’s namesake Space Telescope which confirmed that the expansion of the universe is actually accelerating due to dark energy, perhaps a cosmological constant similar to Einstein’s.

### FIGURE

If the dark energy is indeed Einstein’s cosmological constant, the long-term future of space exploration is grim: by the time the Universe is about 10 times older than it is now, only the nearest few galaxies falling into our own will still be visible: all the rest of the universe will have become unobservably dim and red, frozen on the sky like objects falling into an inside-out black hole.

As we look at our universe today, we estimate that it consists of five percent ordinary matter (stars, planets, gas, and dust), twenty-five percent “non-baryonic” dark matter (as-

yet-undiscovered particles unlike ordinary “baryonic” matter), and seventy percent dark energy (which can be considered to have mass too because energy  $E = mc^2$ ).

FIGURE: composition pie chart if not already put in exec summary.

To learn how dark energy really works, we need to measure its properties in more detail. It is spread so thin that it can only be studied in space, where the enormous volume allows its effects to be noticed. The first step will be to measure its density and pressure and how they change with time. The Dark Energy Probe will deploy the best available technology to study this effect.

The small samples provided by the Hubble Space Telescope show that a dedicated, special-purpose instrument could provide a much better measurement of the bulk properties of the dark matter. These determine whether the energy is really constant, as Einstein conjectured, or whether it has changed over cosmic time, as suggested by some string theorists. Real data on this question would help us discover where dark energy comes from, and what the future of our Universe will be.

Many NASA missions have laid the groundwork for the *Beyond Einstein* program, and will complement it. NASA’s *COBE* discovered the first evidence for primordial density fluctuations in the CMB. NASA’s balloon program (e.g. *BOOMERanG*, *MAXIMA*) has led to the discovery of the interaction of those fluctuations with matter in the universe. NASA’s *MAP* satellite, and the ESA/NASA *Planck* satellite will extend these discoveries, and study the polarization of the CMB, vital precursors to the proposed Inflation Probe. *Hubble Space Telescope* has helped to find and measure the distant supernovae which have forced us to accept dark energy. The X-ray missions *Chandra*, *XMM* and *RXTE* have discovered X-rays from matter spiraling into black holes, giving hints of the potential of Constellation-X. *Gravity Probe B* will test one of Einstein’s exotic predictions: that the rotation of the earth drags space and time around the earth into a mild version of the tremendous vortical spin near a spinning black hole. *SWIFT* will study gamma-ray bursts, believed to be a result of the stellar explosions and mergers which create black holes. *SWIFT* will also test technology for the Black Hole Finder Probe. *GLAST* will study the high-energy emissions from particles accelerated into jets by spinning black holes. *Astro-E2* will demonstrate in flight the detector technology of Constellation-X, while *ST-7* will do the same for LISA.

RFA-  
BE2,4,5,6

## 2.2 Beyond Einstein: The Program

The *Beyond Einstein* program has three linked elements which advance science and technology towards two visions: to detect directly gravitational wave signals from the earliest possible moments of the Big Bang, and to resolve the event horizon of a black hole. The first element is a pair of facility-class Einstein Great Observatories, Constellation-X and LISA, which will blaze new paths toward these visions. The second element is a series of PI-class Einstein Probes focused on science that will determine how the vision missions are implemented. The third element is a technology program to support the Probes and the vision missions: the Big Bang Observer and the Black Hole Imager. The program offers competitive opportunities for mission leadership, technology development, and scientific research.

**National Priorities** The *Beyond Einstein* program complies extremely well with the recommendations of the recent National Academy of Sciences (NAS/NRC) reports: The Committee on the Physics of the Universe (“CPU”, the 2002 Turner report), [LINK](#) the NAS decadal Astronomy and Astrophysics Survey Committee (“AASC” the 2001 McKee-Taylor report), [LINK](#) and the decadal Physics Survey Committee (the 2001 “Physics in a New Era” report). [LINK](#) It also addresses the recommendations of the 1999 Committee on Gravitational Physics (the “Gravitational Physics: Exploring the Structure of Space and Time” report). [LINK](#)

LISA was the highest priority new mission after GLAST in the medium mission category of the McKee-Taylor report, and was endorsed by the Turner report. Constellation-X was the highest priority new mission after NGST in the McKee-Taylor report in the large mission category, and was endorsed by the Turner report. A possible implementations of the Black Hole Finder Probe and a step toward the Black Hole Imager were also priority missions in the McKee-Taylor report (EXIST and iARISE). The Einstein Probes to study dark energy and to find the signature of inflation in the microwave background had high priorities in the Turner report. The physics reports endorsed the science of all the *Beyond Einstein* missions.

**Interagency Connections** Astronomical discoveries are driving the frontiers of fundamental physics, and progress in fundamental physics is driving progress in understanding the universe. *Beyond Einstein* will thus cut across the disciplines of physics and astronomy supported by DOE, NASA, and NSF. The unique capabilities of all three agencies will be essential to a coordinated attack on the science questions. All three agencies participated in the 2001 *Connections* report, and this roadmap implements the priorities of the resulting NAS CPU report. Inter-agency partnerships will form a key component of many of the Cosmic Probes.

**International Connections** International participation is a key feature of *Beyond Einstein*. The LISA mission is an equal venture between NASA and ESA, with the ESA participation fully approved. Constellation-X and the Einstein Probes have attracted international interest that will be realized when the instruments are completed.

### 2.2.1 The Einstein Great Observatories

Constellation-X and LISA will use the complementary techniques of X-ray spectroscopy and gravitational waves to study black holes. They will probe space, time and matter in the extreme environment near black holes and track their evolution with cosmic time. These two facilities will be a major resource for a broad astronomy and physics community. Both have been accorded high priorities in the NAS AASC McKee-Taylor report.

Constellation-X will extend our capability for high resolution X-ray spectroscopy by 25 to 100 times. Its key goals are to determine the fate of gas falling into a black hole by tracking spectral features close to the event horizon, and to trace the evolution of black holes with cosmic time by obtaining detailed spectra of faint quasars at high redshift. The mission is optimized for these challenges, but also provides the ability to observe other objects with unprecedented sensitivity.

LISA will open a new gravitational wave window on the universe. Through this window we will observe for the first time the mergers of giant black holes, and the death spirals of stars they capture and swallow. Using these, we will map the knotted structure of space and time around a black hole, and determine if the astonishing predictions of Einstein's theory are correct: the freezing of time and dragging of space around a black hole. LISA will also make the first complete map of merging binary stars in our Galaxy, future supernovae which could affect life on earth. It will set important limits on background radiation from the early universe, and from catastrophic events such as phase transitions in the vacuum or changes in the dimensionality of the universe.

### 2.2.2 The Einstein Probes

The Einstein Probe line is designed to address those critical science goals of the *Beyond Einstein* program which do not require facility-class observatories. The first three of these are:

1. Determine the nature of the dark energy that dominates the universe.
2. Search for the signature of gravitational waves from the Big Bang in the polarization of the cosmic microwave background
3. Survey the Universe for black holes.

The Einstein Probes will be fully competed, PI-class mission opportunities. Yet they will be focussed on the specific scientific mysteries identified in this strategic plan. To minimize cost and maximize science return, multiple approaches to each goal will be developed and scrutinized before mission selection. An associated technology line will enable this. Some

Einstein Probes will include substantial contributions from other agencies (national and international). The goal is to launch one every 3 years, starting in 2010.

**Competition** The Einstein probes will be fully competed missions. The competition will cover not only the groups selected to lead each mission, but also the scientific and technological approach to the science questions. This competed approach will ensure the most cost-effective, science-driven approach to the missions.

The exciting challenge of dark energy only emerged recently, after the AASC had completed the bulk of its work. The Einstein Probes line is ideal to respond rapidly to this new development. The search for polarization of the cosmic microwave background, caused by the imprint of gravitational waves from the period of inflation, will set limits on the amplitude and frequency distribution of this radiation, was a priority of the CPU report, and was identified as an important area by the AASC report. It is an essential prelude to embarking on a much more expensive mission to detect the radiation directly, A survey of black holes will find targets for the Black Hole Imager and also provide a monitor for transient events that can be followed up with Constellation-X and LISA. The importance of such a mission is highlighted in the AASC report (the EXIST mission).

### 2.2.3 Technology and Theory

Vigorous technology development is essential for the *Beyond Einstein* program to succeed. For the Einstein Great Observatories, technology roadmaps are already in place, but need funding. For the competed Einstein Probes, key technologies must be demonstrated before the mission competitions can occur. The vision missions require a focused program to develop necessary new technologies. The NAS reports support these vision programs: the AASC recommended investment in X-ray interferometry (for the Black Hole Imager), and the CPU report recommended development for a multi-interferometer gravitational wave mission capable of “nulling out” astrophysical foregrounds (needed for the Big Bang Observer).

The successes of COBE and MAP owe considerable debt to theoretical studies. All of the complex programs of our roadmap require a similar approach that includes theoretical modeling at all levels from astrophysics to instrument response, and cannot rely on the traditionally modest support allocated by NASA and NSF to a relatively small community of theorists. As recommended by the AASC report, theory should be viewed as part of the advanced technology needed for program success. Early, explicit and stable support for theory will lay the conceptual foundations of projects, develop mission-critical analysis and modeling software, foster the growth of teams, provide training for a larger community, and help provide leadership in educational outreach.

### 2.2.4 An Integrated Program

The three elements of the *Beyond Einstein* program are tightly linked. The vision missions will make direct measurement of signals from the true boundaries of our Universe. Constellation-X and LISA can be realized within the next decade and address pressing near-term science questions. The answers to these questions are critical to planning the scientific and technical direction of the missions which follow. The Einstein Probes address focused

questions that also feed into the decision process for the more ambitious missions. The overall program is knitted together by shared theory, technology and research.

The measurements of speeds of X-ray emitting matter by Constellation-X are an essential step both to prove the feasibility of imaging X-ray emission close to the event horizon, and to optimize the parameters for the Black Hole Imager mission. The technologies developed for Constellation-X are an extension of current practice, and will point towards the lightweight optics and large format detectors needed for an X-ray Black Hole Imager.

LISA will pioneer gravitational radiation detection in space and will make the first direct detection of waves with periods between hours and seconds. LISA (and LIGO) measurements will allow us to predict the background faced by the Big Bang Observer. Combined with the results from the Inflation Probe, these will determine the frequency range and sensitivity requirements for the Big Bang Observer. Experience with LISA will determine its design.



## 2.3 Beyond Einstein: The Missions

### 2.3.1 Constellation X

Constellation-X will measure the velocities and conditions of matter accreting onto black holes. It will deploy four spacecraft each containing a 1.6m diameter telescope for measuring the spectra of cosmic sources of X-rays.

Optical astronomy became quantitative astrophysics more than a half-century ago when high resolution spectroscopy became routine. It then became possible to measure the speeds, composition and physical conditions in distant astronomical objects. The X-ray band contains lines for all of the abundant heavy elements (carbon through zinc), and has the potential to enable exploration of hot regions of the universe just as optical spectroscopy has done for cooler regions. As X-ray astronomy approaches its half-century anniversary, however, imaging capabilities have far outrun spectroscopy.

Constellation-X is the X-ray equivalent of large ground-based optical telescopes such as the Keck Observatory and the European VLT, offering spectroscopic capabilities that complement the high spatial resolution of the Chandra X-ray Observatory. Constellation-X will provide a 25-100 fold increase in sensitivity over that of current missions such as Chandra, XMM, and Astro-E2. This will yield a fabulous harvest, making spectroscopy of faint X-ray sources routine and probing conditions close to the event horizon of black holes.

The major science objectives of Constellation-X are:

- Observe broadened iron emission lines in Active Galactic Nuclei to determine masses and spins of their black holes, by measuring both spectral form and its time variation. This will provide a precise clock to measure motion in the vicinity of the event horizon. The data will challenge our understanding of the behavior of matter within the framework of the general theory of relativity. RFA-  
BE5,6
- Investigate how matter releases energy close to the event horizon. The brightness of the inner accretion disk can be inferred, to test models for energy release in accretion disks. Phenomena more exotic than accretion, such as the interaction of a spinning black hole with surrounding magnetized gas, can extract the black hole's energy of rotation. These processes can create the relativistic jets seen in many galactic nuclei, or pour tremendous power into the inner region of the accretion disk. Constellation-X will give us the first detailed picture of these remarkable processes, only hinted at by previous missions. RFA-  
BE4,6
- Trace the evolution of super-massive black holes in quasars and active galaxies. Constellation-X will use the many black holes being found by the Chandra X-ray Observatory at high redshift to trace black hole evolution over cosmic time. The X-ray band above a few keV is relatively free of obscuration and thus allows a clear view of newly born AGN even as they are shrouded by the young, dusty galaxies in which they reside. These observations will help determine the role of these black holes in the evolution of their host galaxies. RFA-  
BE4

The Constellation X-ray mission has been in formulation since 1996 with a focussed technology development program. Constellation-X was included as a near term priority in the 1997 OSS Strategic Plan and was reaffirmed in the 2000 Strategic Plan. Recent

technology investments provide a clear path for future efforts that would support launches as early as 2011.

**FIGURE**

The Constellation-X design achieves high throughput and reduces mission risk by dividing the collecting area across four separate spacecraft launched two at a time. An orbit at L2 will facilitate high observing efficiency, provide an environment optimal for cryogenic cooling, and simplify the spacecraft design. Use of identical off-the shelf spacecraft buses and a parallel production line will reduce cost.

Each satellite will contain two telescope systems: one with high energy resolution ( $R \sim 300 - 3000$ ) for imaging X-ray spectroscopy (0.2-10keV), and one with low energy resolution ( $R \sim 10$ ) for imaging hard X-rays (to 60keV). The spectroscopy telescopes will have 15 arcsec resolution (half power diameter) in a 2.5 arcmin field imaged by 900-pixel quantum micro-calorimeters (with 2 eV energy resolution). They will also include a set of reflection gratings (resolution 0.05 Angstrom in first order). The hard X-ray telescopes will be the first focussing optics above 10 keV, and have 1 arcmin resolution (half power diameter) in an 8 arcmin field.

All of the Constellation-X technologies are an evolution of existing, flight proven instruments and telescopes. Substantial progress has been made in key areas of technology, including light-weight X-ray mirrors, improved energy resolution and construction of larger arrays of X-ray microcalorimeters, multi-layer depositions for hard X-ray telescopes, and CZT detectors for hard X-rays.

### 2.3.2 LISA: the Laser Interferometer Space Antenna

The Laser Interferometer Space Antenna (LISA) will open a new window on the universe through the study of low frequency gravitational waves. LISA consists of three spacecraft orbiting the sun in a triangular configuration with a baseline of 5 million kilometers between spacecraft.

LISA will detect low frequency gravitational waves by measuring the changes in the relative velocity of two approximately freely-falling proof masses within each spacecraft. LISA will be the first instrument capable of detecting gravitational waves from already cataloged objects (several binary stars), and these will be used to calibrate LISA's performance.

Sources of gravitational waves which LISA should detect include all the merging compact binaries in our own Galaxy, merging supermassive black holes in distant galaxies, and the spiral descent of white dwarfs, neutron stars, and stellar-mass black holes into supermassive black holes. None of these can be detected by ground-based detectors, which are sensitive only to gravitational waves with periods in the range 0.001-0.03 seconds. In contrast, LISA measures periods between 10 seconds and a few hours. LISA may also detect violent events in the early universe, such as phase transitions in the energy of the vacuum or in the number of dimensions, if their amplitude permits.

The major science objectives of LISA include:

- Detection of compact stars spiraling into supermassive black holes. Their orbital trajectories determine the full space-time geometry down to the event horizon, providing the first high-precision tests of the general theory of relativity and the nature of black holes, including the famous "black holes have no hair" theorem. [see box in Section 1.1.2] The desire for precise measurements of these weak signals set the sensitivity goals for LISA.
- Study of the role of massive black holes in galaxy evolution through the detection of black hole mergers. LISA will be able to observe for a year or more any merger of supermassive black holes in merging galaxies at redshifts of 1-5, with signal-to-noise ratio of over 1000. This will allow detailed observations of information-rich, complex gravitational wave forms from regions where spacetime is violently knotting, and will put the general theory of relativity to a most severe test. LISA will also detect or strongly constrain the rate of mergers of intermediate mass or seed black holes, out to redshifts of 30.
- Search for gravitational wave emission from the early universe. This will probe energy and length scales characteristic of the universe  $10^{-15}$  seconds after the Big Bang.

RFA-  
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RFA-  
BE4

RFA-  
BE1

LISA has been developed and is envisaged as a joint mission of NASA and the European Space Agency. LISA is an approved European Cornerstone Mission, with a start in 2007 and launch planned for 2010 or 2011, consistent with NASA's plans. ESA has under construction a LISA technology validation mission (SMART-2) for launch in 2006. NASA is providing its own technology validation payload for launch on the ESA spacecraft through the ST7 project of the New Millennium program.

## FIGURE

LISA consists of three spacecraft orbiting the sun in earth-trailing orbits, in a triangular configuration with separations of 5 million kilometers. At the heart of each spacecraft are two free-flying reference masses for the detection of gravitational waves. Two 30-cm telescopes direct the beams from two cavity-stabilised lasers toward the other two spacecraft. The laser light received from the two distant spacecraft is combined with the light from the local lasers. Changes in the ‘beat note’ between the local and distant laser reveal changes in the relative velocity of the spacecraft, the signature of gravitational waves. Combining the signals from all the pairs of spacecraft will permit detection of both polarizations of the waves.

LISA will have greatest sensitivity to gravitational waves of periods of 100-1000 seconds, and will be able to detect gravitational wave bursts with space-time strains as small as  $6 \times 10^{-21}$  ( $5\sigma$  all sky-average), corresponding to measuring  $3 \times 10^{-12}$ m ( $1\sigma$ ) changes in the  $5 \times 10^6$ km separation between spacecraft over each wave period. In one year of observation LISA will detect gravitational waves from periodic sources producing space-time strains as small as  $10^{-23}$  ( $5\sigma$  detection).

LISA will simultaneously observe a wide variety of sources from all directions in the sky. Sources will be distinguished by studying the time evolution of their waveforms. The direction of a source is revealed by the manner in which its waves’ phase and amplitude are modulated by LISA’s orbital motion around the Sun and its changes in orientation. LISA’s ability to synthesise several interferometers with differing sensitivities to gravitational waves will enable it to discriminate isotropic backgrounds from instrumental noise.

The spacecraft use sensitive position-measuring devices to monitor the position of the proof-masses within the spacecraft (“gravitational reference units”). MicroNewton thrusters will maintain drag-free control of the spacecraft about the proof masses. These two elements, viewed as the most critical to LISA’s success, will be space-tested by ESA and NASA (through the ST-7 project) on the ESA SMART-2 mission, to be launched in 2006.

**FIGURE** or **FIGURE**

LIGO, VIRGO and other ground-based laser-interferometer gravitational wave observatories are beginning operation. With technological advances, in the coming decade these detectors may detect gravitational waves directly for the first time. Although they run on general principles similar to LISA, there are important differences. Because they are on the ground, the proof masses are not freely falling, but are suspended on pendula; because they must use an artificial vacuum (the world's largest), the arms are 4 km long, rather than LISA's 5 million km. As a result they are optimized to detect waves of much shorter periods than LISA, and will therefore hear completely different sources. For example, LIGO will hear the final few minutes of radiation from merging black hole remnants of ordinary binary stars (about 10 times the mass of the sun). LISA will hear the final year's radiation from black holes (of masses ten to a million times the mass of the sun) captured by supermassive (millions of solar masses) black holes in the centers of galaxies.

### 2.3.3 Dark Energy Probe

The nature of the mysterious dark energy which dominates our universe is one of the newest and most important questions facing cosmology and fundamental physics today. [see box in Section 1.1.3] To probe the dark energy requires measuring precisely how the expansion rate of the Universe is, to our astonishment, increasing with time. There are several plausible strategies, including: using supernovae or other standard candles as a direct test of the distance/redshift relation; probing the evolution of cosmological perturbations through observations of large-scale structure; or measuring the density of objects as a function of redshift. These strategies all require an optical/infrared telescope with a wide field of view and large-scale detector arrays. A mission in space is crucial to obtain high-quality data at the large redshifts ( $z \sim 0.5 - 2$ ) necessary to probe cosmological evolution.

The dark energy may be Einstein's cosmological constant, now understood as an energy of the vacuum. We can use our current understanding of how quantum mechanics and gravity join to estimate what the energy density of that vacuum should be. The result is  $10^{120}$  times larger than the experimental limits! Our understanding is clearly incomplete. An experimental measurement of a small but nonzero cosmological constant would dramatically influence the search for a quantum theory of gravity. More dramatic alternative candidates for dark energy include dynamically evolving fields or even a breakdown of the general theory of relativity.

To decide which is right, we need better measurements. The *Beyond Einstein* Dark Energy Probe will:

- Accurately determine the amount of dark energy, currently believed to comprise approximately 70% of the mass-energy of the universe. Pinning down the precise value will both verify the existence of this mysterious component beyond a reasonable doubt, and in combination with results from MAP and Planck, determine whether our Universe is flat (as predicted by inflation theories), spherical, or infinite and curved.
- Greatly increase our sensitivity to time-variations in the dark energy density. Einstein's original cosmological constant was constant in time, as the name implies. We now know that his constant is equivalent to an energy density of the vacuum. If Dark Energy Probe shows that the dark energy density is constant in time, it will have discovered a nonzero vacuum energy, a priceless empirical clue in the quest to reconcile quantum mechanics with the general theory of relativity. If Dark Energy Probe shows that the dark energy density varies with time, it will have discovered a new dynamical field or a failure of Einstein's general theory of relativity —with dramatic implications for the future of our Universe.

RFA-  
BE2,7

RFA-  
BE7

One implementation of The Dark Energy probe involves a wide field optical/infrared space telescope with primary aperture  $\sim 2$  m, and a field of view  $\sim 1$  degree. The focal plane would consist of billion-pixel arrays of CCDs and near-infrared detectors (e.g. HgCdTe) collectively providing multicolor coverage over the range 0.4–1.7 microns. A mission of this type could search for large numbers of Type Ia supernovae in the redshift range 0.7–1.7, and provide follow-up spectroscopy and multicolor photometry for detected events. This could be accomplished by repeatedly scanning a limited region of sky  $\sim 10$  sq. degree. The sensitivity would be required to allow source detection down to 29th magnitude at 1 micron, and spectroscopy and precision photometry down to 25th magnitude.

Considerable technology investment would be necessary to develop reliable detector arrays of such large format. The Department of Energy has begun such development, and is an interested partner in such a mission.



### 2.3.4 Inflation Probe

The *Beyond Einstein* Inflation Probe will seek the imprint of gravitational waves on the relic Cosmic Microwave Background (CMB). These quantum waves should reveal if and how a mysterious “inflation” field stretched and smoothed our Universe. One promising approach would use a 2m cooled telescope located at L2, equipped with large arrays of polarization-sensitive detectors operating between 50 and 500 GHz.

Just before the Universe became neutral, electrons scattered the cosmic microwaves. This generated a pattern of polarization related to the temperature fluctuations of the CMB. Both density fluctuations and gravitons (gravitational wave quanta produced in the very early universe) combined to determine this pattern. Temperature anisotropy studies, such as those made by COBE and MAP, cannot distinguish the density and graviton components. Fortunately, these two sources of fluctuations generate different patterns of polarization, allowing them to be separated. However, the graviton component is likely to be at least 100 times fainter than the density component, which will be mapped to high sensitivity by the Planck mission (to be launched in 2007).

- Map the polarization of the CMB, and determine all the sources of this polarization on both large and small scales. This will provide the most precise test yet of the gravitational theory for the origin of galaxies and structure in our Universe.
- Search the CMB for the signature of gravitational waves from the Big Bang. This will test theories of the very early universe such as inflation models. It will also test physics at energies that are currently inaccessible by any other means.

RFA-  
BE2

RFA-  
BE1

To detect the gravitational wave component will require all-sky maps of CMB polarization with sensitivity  $\sim 1\mu\text{K}$  per pixel, about 20-100 times better than Planck. The detectors that will fly on Planck are already close to fundamental quantum limits, so improvements in mapping sensitivity must come from large increases in the number of detectors, and cooling the optics to reach the background limit of the CMB itself. The angular resolution of the maps must be a few arcminutes to allow the true gravitational wave signal to be distinguished from secondary sources of polarized CMB signals, such as gravitational lensing of the density component to CMB polarization. Consequently, the Inflation Probe will require at least a 2m class telescope, probably cooled, and equipped with focal plane arrays containing thousands of pixels. Each pixel must also be observed simultaneously from 50-500 GHz to allow astrophysical foregrounds to be subtracted. The signals from inflation are likely to be mixed with confusing foregrounds and effects from gravitational lensing, so preparatory theoretical and observational work are essential to the success of this effort.

### 2.3.5 Black Hole Finder Probe

The supermassive black holes at the center of our own Milky Way and its companion, the Andromeda galaxy, are normally quiet, perhaps every ten thousand years flaring brightly when they swallow a star from their surroundings. Even the three closest supermassive black holes now swallowing gas are hidden in galaxies which otherwise appear normal. Yet these black holes can have had a dramatic effect on the formation and evolution of galaxies—and even life. The optical appearance of a galaxy doesn't usually advertise the presence of a black hole, nor tell us what it is doing.

Did massive black holes form when galaxies formed? Did they slowly grow later? How fast are they still growing? We need a census of accreting black holes to find out.

The *Beyond Einstein* Black Hole Finder Probe will do this. It will perform the first all-sky imaging census of accreting black holes: from supermassive black holes in the nuclei of galaxies, to intermediate mass ( $\sim 100$ -1000 solar mass) holes produced by the very first stars, to stellar mass holes in our Galaxy.

A veil of dust and gas currently hides most accreting black holes from our view. High-energy X-rays, infrared and radio waves can penetrate this veil. Of these, X-rays can best be distinguished from emission from stars, so one promising approach is a wide-field telescope operating in the hard X-ray band. The Black Hole Finder Probe would enable a range of studies of black holes and the extremes of astrophysics:

- Black Hole Finder Probe will survey the local universe over a wide range of black hole obscuration and accretion rates. It can identify the most luminous obscured black holes at larger redshifts to estimate the growth rate of massive black holes. Followup studies with Constellation-X and eventually the Black Hole Imager will measure fundamental black hole properties (spin, mass) in the best targets.
- Black Hole Finder Probe will discover ordinary stars being torn apart as they approach black holes. It will complement LISA, which will see the gravitational waves from the initial phases of these events involving small stars, and also the capture of neutron stars and black holes too small to be torn apart.

RFA-  
BE3

RFA-  
BE3

RFA-  
BE6

The Black Hole Finder Probe could be a hard X-ray survey mission, consisting of a very large area ( $\sim 4$ - $8\text{m}^2$ ) array of imaging solid-state detectors (CdZnTe; CZT) which view the sky through wide-field coded aperture masks. The required angular resolution is  $\sim 3$ - $5$  arcmin.

To penetrate gas and dust, an X-ray Black Hole Finder Probe should be sensitive in the 10-600 keV band. To perform a reliable census, flux sensitivity (20-100 keV,  $5\sigma$ , 1y) should be  $F_{lim} \sim 5 \times 10^{-13} \text{erg cm}^{-2}\text{s}^{-1}$ , comparable to the flux limit of the all-sky soft (0.5-2.5keV) X-ray survey conducted by ROSAT.

The centers of bright sources will be located to  $\sim 10$  arcsec so that counterparts at optical/IR/radio wavelengths can be identified. The faintest survey sources would have 1 arcmin centroids, sufficient for identification with bright galaxies, or as a finder for higher resolution instruments like Constellation-X.

### 2.3.6 Vision: A Big Bang Observer

Of all waves and particles known to physics, gravitational waves interact the least. Thus they carry information to us from the earliest moments of the universe, when it was so dense that neither light nor neutrinos could escape. The radio waves of the cosmic microwave background escaped on their journey to us when the universe was 300,000 years old. The hydrogen and helium around us formed when the Universe was a few minutes old. Gravitational waves escaped on a journey to us when the universe was only  $10^{-34}$  seconds old. The ultimate goal of the Big Bang Observer is the direct detection of these gravitational waves.

RFA-  
BE1

Like electromagnetic waves, gravitational waves cover a broad spectrum. LISA will observe waves with periods between 10 seconds and a few hours; ground-based interferometers cover periods of 0.001-0.03 seconds; the Inflation Probe will search for the effects of waves with periods of billions of years. Understanding the expansion history of the universe at the moments when quantum foam was becoming our familiar space and time requires measuring the gravitational wave relics from this era at at least two widely spaced frequencies. If Inflation Probe succeeds at the lowest frequencies, this program will require measurements at a much higher frequency.

At longer periods than hours, the confusion background from astrophysical sources (merging black holes and binary stars in our Galaxy and beyond) is hopelessly large. The expected signal from inflation decreases with decreasing period, putting it out of reach of ground-based observatories.

In between, at periods of 0.1-10 second, lies a window of opportunity: the number of astrophysical background sources drops to a resolvable number in this band: white dwarf binaries have merged and disappeared. The primary source of background signals is from neutron star binaries several months before coalescence. Yet the signal from the quantum foam of the early universe is still within reach. The ultimate vision is to reach the sensitivity required to directly detect the gravitational wave background from standard 'slow-roll' inflation. This is a technical challenge. To reduce the risks, it may be desirable to begin with a less sensitive pathfinder mission to make the first exploration of the universe in this gravitational wave frequency window, whose astrophysical sources are expected to include the seeds of black hole formation, the first stars, and galaxy formation.

RFA-  
BE4,5

- The vision Big Bang Observer has the goal of direct detection of quanta of the gravitational field created during inflation. This could give us a direct view of the creation of space and time, and in combination with results from the Inflation Probe, determine the nature of the vacuum at energies far higher than we can hope to reach with ground-based accelerators.
- The Big Bang Observer will reach this goal by identifying (and subtracting) the gravitational wave signals from every merging neutron star and stellar-mass black hole in the universe.
- The precision measurement of these binaries will directly determine the rate of expansion of the universe as a function of time, extending the results of the Dark Energy Probe.

RFA-  
BE1,2

RFA-  
BE3,4

RFA-  
BE2,7

## FIGURE

Figure 2.5: Chandra X-ray Observatory image of possible intermediate mass black holes accreting gas in the Antennae pair of colliding galaxies.

- The Big Bang Observer can also pinpoint gravitational waves from the formation or merger of intermediate mass black holes. These are believed to form from the collapse or merger of remnants of the first massive stars to form in our universe, and may have controlled galaxy formation and been the seeds from which supermassive black holes grew. These sources will also enable even finer measurements of the structure of spacetime around black holes than will be possible with LISA.

RFA-  
BE4,5,6

### 2.3.7 Vision: A Black Hole Imager

The goal of the Black Hole Imager mission will be to directly image matter falling into a black hole, with resolution comparable to the scale of the event horizon. An angular resolution of 0.1 micro arc second (100,000 times better than the Hubble Space Telescope) is required to do this for accreting black holes at the centers of nearby galaxies. This can be achieved at high radio frequencies and at X-ray wavelengths.

A simple image, while exciting in concept, is not sufficient to study the dynamics of the inner regions. To better disentangle the complicated dynamics near the black hole will require spectroscopy to map the speed as well as position of gas as it nears the event horizon. This will require imaging at the wavelengths of X-ray lines.

The science objectives for a black hole imaging mission are:

- Map the motions of gas in the vicinity of a black hole event horizon and compare them to predictions based on the general theory of relativity. In bright accreting black holes the essential physical conditions can be measured via imaging spectroscopy of fluorescent features from the accretion disk's surface, allowing a quantitative test of strong field general relativity. Constellation-X takes a first step by demonstrating time-resolved spectroscopy of relativistically broadened X-ray lines, but without the imaging capability of Black Hole Imager.
- Map the release of energy in black hole accretion disks. The underlying mechanisms by which gas swirling into black holes loses energy are not well understood. A direct image of the inner disk could reveal the details of this process.
- Determine how relativistic jets are produced and the role of black hole spin in this process. The ultimate irony of black hole accretion is that rather than swallowing everything, somehow many black holes manage to generate relativistic jets, by mechanisms that remain a mystery. Imaging and spectroscopy will also provide direct tests of models which predict that magnetic fields extract energy from the black hole itself to power these jets.

RFA-  
BE5,6

RFA-  
BE6

RFA-  
BE6

## Chapter 3

# Beyond Einstein: Technology Roadmap

## FIGURE

Figure 3.1: Constellation-X requires lightweight but extremely precise mirrors of the sort shown here.

### 3.1 Technology Roadmap: Beyond Einstein

“On a long trek, your eyes arrive first. Your feet arrive last.”  
—*African saying*

The *Beyond Einstein* program cannot succeed without investment in key enabling technologies for each mission. No mission can go into full flight development before it has achieved the appropriate level of technical readiness. This requires a well-balanced technology program, in which both near- and long-term mission needs are addressed. Technology development for *Beyond Einstein* must be coordinated with other Space Science themes to identify cost sharing opportunities. Technology from early missions must be extended for later more demanding missions. Funding should be awarded competitively through the peer review process. Scientists, the end-users of the technology, must be involved at all stages to ensure that their needs are met.

### 3.2 Einstein Great Observatory Technologies

Both Einstein Great Observatory missions have been under study for several years, and have detailed technology roadmaps in place. We highlight key elements below:

#### 3.2.1 Constellation-X

Constellation-X will provide X-ray spectral imaging of unprecedented sensitivity to determine the fate of matter as it falls into black holes, and map hot gas and dark matter to determine how the Universe evolved large-scale structures.

**Lightweight, grazing incidence X-ray optics** Each of the four identical Constellation-X spacecraft will carry two sets of telescopes: 1) a spectroscopy X-ray telescope (SXT) for the low energy band up to 10 keV, and 2) three hard X-ray telescopes (HXTs) for the high energy band. Both incorporate highly nested, grazing-incidence X-ray mirror arrays, which must simultaneously meet tight angular resolution, effective area and mass constraints. Constellation-X must invest sufficient resources over the next 3 to 5 years to advance one or more mirror technologies to TRL-5.

**X-ray Calorimeter Arrays** Two technologies are being developed in parallel: semi-conducting bolometers and voltage-biased transition-edge superconducting thermistors. Both have demonstrated the potential to achieve the required energy resolution of 2 eV, but fabrication of large numbers of high-quality arrays still poses a challenge.

## FIGURE FIGURE FIGURE

Figure 3.2: The LISA mission requires development of inertial sensors of the kind shown here.

## FIGURE FIGURE

Figure 3.3: MicroNewton thrusters will keep LISA spacecraft precisely centered about their freely falling proof masses.

**Long-lived 50mK coolers** Constellation-X requires reliable long-life first stage coolers operating at 5-10K. The Advanced Cryocooler Technology Development Program (ACTDP), is already pursuing this goal through study-phase contracts, leading to completion of two demonstration coolers in 2005. The ultimate detector temperature of 50 mK will be reached by one of several ADR technologies currently under study.

**Grazing incidence reflection gratings** Coupled to X-ray CCDs, gratings provide imaging spectroscopy in the 0.2 - 1.5 keV energy range. Study of the merits of different reflection gratings is needed: in-plane gratings similar to those flown on XMM-Newton, versus off-plane gratings. Novel event-driven CCDs can provide significant improvements in performance and robustness.

**Solid-state hard X-ray imaging detectors** At hard X-ray energies, CdZnTe detectors provide  $< 1.2$  keV resolution and high quantum efficiency over the 6 - 50 keV energy range. Further development is required to improve response at low energies and reduce the effects of electron trapping.

### 3.2.2 LISA

LISA will open a new window on the universe by enabling the detection of gravitational radiation from a wide variety of astronomical systems. It consists of a triangle of reference masses in solar orbit connected by a precision metrology system. The measurement of the relative motion of these drag-free masses allows us to sense the passage of gravitational waves through the solar system. To use capture of compact objects to map spacetime outside of supermassive black holes sets the sensitivity requirements at wave frequencies of  $10^{-2} - 10^{-3}$ Hz. To measure the properties of merging pairs of supermassive black holes requires good sensitivity down at least to  $10^{-4}$ Hz.

The key technologies are those to 1) minimize external disturbances of the reference masses, and 2) precisely measure their separation.

**Disturbance Reduction System** To meet LISA's sensitivity requirements below  $10^{-3}$ Hz requires development of inertial sensors with noise  $< 10^{-16}$ g in a 1000 s integration, and low noise microNewton thrusters to keep the spacecraft precisely centered about the masses.



**Laser Measurement System** LISA's sensitivity above  $10^{-3}$ Hz are set by the laser power and the measurement system. Changes in the  $5 \times 10^6$  km test mass spacing must be measured to  $10^{-12}$ m, or  $10^{-5}$  fringes. That requirement can be met by existing lasers and detection systems. But orbital dynamics lead to changes in spacecraft spacing that can create a fringe rate as large as 15 MHz. This imposes stringent requirements on laser frequency stability, telescope pointing and dimensional stability, and the phase measurement system, including ultra-stable oscillators.

**System Verification** A validation flight is planned in June 2006 on the ESA SMART-2 spacecraft, with US participation through the New Millennium mission ST-7. The validation program is essential to test the critical disturbance reduction system components, the gravitational reference units, microNewton thrusters, and the laser interferometer to measure test mass spacing.

### 3.3 Technology Development for the Einstein Probes

The Einstein Probe mission concepts will be competed in order to choose the best scientific and technical approaches to their goals. All of the measurements planned for the three Einstein probe missions are technically challenging. Readiness must be evaluated before each competition. This will require an Einstein Probe Technology Development Line. This Line should be provided as early as possible to allow all of the promising approaches to each mission to be thoroughly vetted.

Some particular mission concepts are already being studied for each of the Probe science areas. Below we discuss the technology development required for these concepts.

#### 3.3.1 Dark Energy Probe

The Dark Energy Probe will be designed to perform measurements of the geometry of the Universe in the redshift range  $z = 0.7 - 1.7$ , where the effects of dark energy are expected to leave their most prominent signature. A particularly promising approach (and the one emphasized in the 2002 NAS Committee on the Physics of the Universe report) is to obtain a large sample of Type 1a supernovae at redshifts beyond  $z = 1.5$ .

A mission capable of such observations requires a telescope with a  $\sim 2$ m diameter mirror, diffraction limited down to 1 micron, and large arrays of optical and infrared imaging detectors. All of these elements require substantial technology development. The primary mirror must have much lower cost and mass per unit area than the HST primary. The very large detector arrays are a serious challenge: they require of order a billion pixels. At optical wavelengths, silicon-based CCDs are the obvious candidates, but the requirements exceed the capabilities of current devices. At infrared wavelengths, the gap between requirements and current devices is even larger.

### 3.3.2 Inflation Probe

The Inflation Probe will be designed to detect signatures of gravitational waves (with wavelengths comparable to the size of the universe) produced by inflation via the imprint they leave on the cosmic microwave background, a weak component of polarization with nonzero projected curl, referred to as “B-mode.”

Even for optimistic models, however, B-mode polarization is very difficult to detect. Fluctuations in this component are an order of magnitude weaker than those of the curl-free component. The sensitivity required is roughly 20 - 100 times that of the HFI focal plane detector on *Planck*. Achieving such a vast increase in sensitivity requires significant advances: e.g. large arrays of polarization-sensitive detectors with frequency multiplexing from 50-500GHz. Other technical challenges include the need for cold optics and 100 mK detector operating temperatures with very stable temperature control.

### 3.3.3 Black Hole Finder Probe

Black Hole Finder probe will conduct a wide field survey of black holes: most likely at hard X-ray/soft  $\gamma$ -ray energies, where radiation emitted from these objects can penetrate any surrounding veil of gas and dust.

Such a survey instrument would need to be sensitive over an energy range of  $\sim 10$  keV-600 keV, and to have angular resolution  $< 5$  arcmins. Since reflective optics provide very limited fields of view at these high energies the telescope must use coded aperture imaging. To provide sufficient sensitivity the detector plane must have an area of several  $\text{m}^2$  with  $\text{mm}^2$  sized pixels to provide the required angular resolution. A CdZnTe detector array seems the most likely candidate, but there remain technical challenges. Other technology problems arise in the areas of mask fabrication and data acquisition at high trigger rates.

## 3.4 Beyond Einstein Vision Mission Technologies

The ultimate visions of the Beyond Einstein program stretch well beyond what will be accomplished with either the mid-term missions or the Einstein probe missions. Although detailed designs for successor missions would be premature, it is important to begin addressing some of the anticipated technology needs. Below are some possible technology programs associated with these missions.

### 3.4.1 Big Bang Observer

The ultimate goal of a Big Bang Observer is to directly observe gravitational waves with sufficient sensitivity to observe the background due to the quantum fluctuations in ‘slow roll’ inflation. This must be accomplished in the face of a strong foreground of gravitational waves produced by all the binary stars and black holes in the universe. Source-by-source removal of this foreground is practical at wave frequencies 0.1 – 10Hz.

To separate these foreground sources requires extraordinary sensitivity and angular resolution. One possible solution consists of four separate interferometers, each including three spacecraft separated by 50,000km. These would be spaced in a triangle around the earth’s

## FIGURE

Figure 3.4: The Big Bang Observer will require powerful space-qualified lasers. Here a 125W laser (scalable to 30kW) is shown under test.

orbit about the sun (separations of 1.7AU), with two interferometers sharing one apex for independent correlation. Such a configuration imposes many technical challenges, including:

**Strain Sensitivity.** A significant improvement in strain sensitivity,  $\sim 10^4$  times better than that of LISA is needed. This will require advances in mirror fabrication, laser power and stability, phase measurement, and instrument pointing.

**Acceleration Noise.** A gravitational reference sensor with acceleration noise performance 100 times lower than planned for LISA is required.

Since this gravitational wave frequency band will not have been previously explored, it would be both scientifically and technically desirable to first fly a pathfinder mission, with fewer spacecraft and more modest improvements on LISA's technology.

### 3.4.2 Black Hole Imager

The goal of the Black Hole Imager is to enable direct imaging of the distribution and motion of matter in the highly distorted space-time near the event horizon of a black hole. This will require angular resolution better than one microarcsecond —five orders of magnitude beyond that of the Hubble Space Telescope. An X-ray interferometer is naturally matched to this task, since accreting black holes are expected to have a high surface brightness in X-rays, and this, coupled with the short wavelength, allows an instrument of relatively modest aperture and baseline to be used.

An X-ray interferometer with 0.1 microarcsecond ( $\mu\text{as}$ ) resolution poses technical challenges. At wavelengths near 1 nm, the required baselines are about 1 km, and focal distances must be  $10^3 - 10^4$  km to obtain reasonable detector scales. This means that separate spacecraft are needed with highly controlled formation flying. Nominal requirements are: position accuracy of a fraction of a nanometer, angles known to 0.1  $\mu\text{as}$ , and optical surfaces figured to 0.05 nm.

**Pointing** Sensing and controlling the orientation of the line joining the Centers of the reflector and detector spacecraft is probably the greatest technology challenge, one shared with the Big Bang Observer and NASA's *Terrestrial Planet Finder* mission. An advanced form of gyroscope may be needed.

**Mirror figuring** Though grazing incidence relaxes the required surface figure accuracy, extending current fabrication techniques to panels of the size required for this mission will not be trivial.

To reduce the risks associated with making such large technical advances as these in one step. it would be desirable to first fly a pathfinder mission, with angular resolution requirements reduced by about two orders of magnitude.

## Chapter 4

# Beyond Einstein: Research and Analysis

## 4.1 Theory

“What is now proved was once only imagin’d”  
—William Blake

Theoretical studies— here taken to include conceptual and analytical theory, development of software technologies supporting data exploration, astrophysical simulations, and combinations of these— were recognized by the National Academy’s Decadal survey as a central component of modern mission technology development. That survey recommended that supporting theory be explicitly funded as part of each mission funding line, because detailed modeling connecting the elements of a mission to the system under investigation is critical to design and even to conceive successful and cost-effective missions. Rigorous modeling is an important factor in reducing mission risk and evaluating competing mission strategies, and simulations can vividly demonstrate mission goals. Beyond Einstein explores to the boundaries of foundational knowledge as well as the boundaries of spacetime, so detailed and quantitative theoretical studies are indispensable, starting with the earliest design phases.

The following examples (by no means an exhaustive list) include both specific mission-critical and broader foundational theoretical studies supporting Beyond Einstein:

- Constellation-X. Models of relativistic hydrodynamic flows in accretion disks, including radiative transfer models, leading to simulated, time-dependent spectra.
- LISA. Studies and simulations of signal extraction (the “cocktail party problem”); numerical relativity, aimed at accurate calculation of predicted gravitational waveforms for the whole range of merging and orbiting systems; astrophysical modeling and simulations to connect binary population predictions with other data sets.
- Inflation Probe. Theoretical studies of early universe cosmology, including tensor and scalar mode predictions and their connection with fundamental theory; simulations of polarization effects, including the contamination effects of astrophysical foregrounds and gravitational lensing; development of optimal statistical signal extraction techniques.
- Dark Energy Probe. Theoretical studies of Type Ia supernovae and other candidate systems for calibrating cosmic distances, including simulations of statistical effects of gravitational lensing by dark matter; realistic simulation of various competing techniques (e.g. galaxy clusters, quasar clustering) to facilitate evaluation of most precise and reliable methods.
- Big Bang Observer. Early universe cosmology and phenomenology of quantum gravity, string theory, and brane world models; models of coalescing white dwarf and neutron star binaries and populations in the 0.1 to 1 Hz range.
- Black Hole Imager. Comprehensive simulation of black hole environments, including electromagnetic field interactions with flows and the spacetime metric, and radiative transfer over many decades of dynamic range.

## 4.2 Ground-Based R & A

Beyond Einstein missions also require specialized supporting ground-based programs. As in the case of theory, these studies should start early in the program since they will influence the optimization of the mission design parameters. In the case of the Einstein Probes, a broad effort is needed since even the mission concept will be competed.

The Inflation Probe, if it is based on microwave background anisotropy polarization, will require new generations of polarization-sensitive detectors, excellent control of systematic effects and a thorough understanding of astrophysical foregrounds. Ground-based Cosmic Microwave Background polarization experiments will be essential preparation for the Inflation Probe, both for testing of new technology, investigation of observing strategies and systematics, and for providing data to test new analysis techniques. Detector technology for COBE, MAP and Planck was a direct product of ground-based and sub-orbital programs. In the same way, a strong ground-based program is an essential precursor to the Inflation Probe.

The Dark Energy Probe, whatever technique is adopted, will require ground-based data of unusual uniformity, quality and completeness. If Type Ia supernovae are employed, space studies must be supported by detailed and precise ground-based spectra and photometry of a large, uniformly selected sample of relatively nearby supernovae. This is required both as a calibrating set for the high-redshift Hubble diagram, and as a statistical control sample to study the systematic correlations of supernova properties— the generalization of the one-parameter fits to light curve shape currently being used. Similar foundational studies are needed for other candidate techniques for the Dark Energy Probe.

## Chapter 5

# Education and Public Outreach



## 5.1 Education, Outreach and the Public Mandate

The *Beyond Einstein* program offers an unparalleled opportunity to involve the public in the excitement of cosmic exploration, and especially to inspire and cultivate the next generation of scientists. This goal comes at a critical time, when the number of American-born scientists and engineers is reported to be dwindling. The missions and research programs in *Beyond Einstein* are committed to bringing significant resources to this educational challenge, so that all Americans can share in the asking and answering of some of the most basic and far-reaching questions about the universe. The public's eagerness to share this adventure is reflected in part by the many Hollywood movies, television series, best-selling books, and popular articles that draw on the *Beyond Einstein* theme.

*Beyond Einstein's* education efforts are part of a comprehensive initiative coordinated by the Office of Space Science. Thanks to an efficient network of partnerships throughout the education and outreach communities, OSS products and programs now reach virtually every avenue of public interest, including the nation's schools, science museums and planetariums, media outlets, after-school programs, libraries, and community groups. Outreach programs for the *Beyond Einstein* theme will build on these existing partnerships. Special emphasis is placed on the pre-college years, including middle-school and the lower grades, a time when life-long attitudes towards science and science literacy are developed.

Among the hallmarks of *Beyond Einstein's* approach to education and outreach are: the participation of space scientists at all levels of outreach; an emphasis on the diversity of people and cultures who contribute to the questions and the quest; an emphasis on professional development of pre-service and in-service teachers; the link between technology and the advancement of science; and an emphasis on the nature of scientific inquiry, including the human drama of planning, building, and launching the great missions of exploration.

The missions and probes in the *Beyond Einstein* theme offer unique educational opportunities. For example, the origin of the universe is considered such an important part of science education — and of cultural literacy generally — that it is featured in the *National Science Education Standards*, which form the basis for most state education frameworks. Missions such as MAP, the Inflation Probe, the Big Bang Observer, and LISA offer the opportunity to develop a comprehensive and coordinated set of materials with which teachers and students can examine evidence for the Big Bang and trace the underlying idea that scientific inquiry can address even the most ancient and difficult questions.

Similarly, black holes are cited in the *Benchmarks for Science Literacy* — published by the American Association for the Advancement of Science and widely used along with the *National Standards* — as an excellent way to introduce students to the important idea that "under extreme conditions the world may work in ways very different from our ordinary experience, and that the test of scientific theory is not how nearly it matches common sense, but how well it accounts for known observations and predicts new ones that hadn't been expected." The *Benchmarks* mandate that by the end of 12th grade, "students should know that... many predictions from Einstein's theory of relativity have been confirmed on both atomic and astronomical scales. Still, the search continues for an even more powerful theory of the architecture of the universe." Missions such as Constellation-X, LISA, and the Black Hole Finder Probe will provide students and the public with a front-row seat for one of the great scientific explorations of our time.

An area of growing importance in the classroom is the "interaction of light and matter," especially students' understanding of the various forms of light, from radio waves to gamma rays, which is central to all modern scientific exploration regardless of discipline. The *Beyond Einstein* missions span the electromagnetic spectrum, and introduce a new one (gravitational waves). They collectively provide teachers with clear, graphic, and compelling examples of the wide variety of information about our universe that light can provide. In fact, more than 10,000 teachers have already requested education materials from *Beyond Einstein* because they provide such compelling classroom examples of light beyond the visible.

Another crucial area of opportunity is technology education. Many states now require technology education in middle school, and science museums across the country are building "Current Science and Technology Centers" to address the public's interest in new technologies. The fantastic requirements of a mission like LISA — which will measure an object being jostled by less than the width of an atom — provoke the kind of excitement and questioning that draws young people into science and technology in the first place.

Educational products and programs developed for the *Beyond Einstein* theme are expected to be extremely popular, as they have been in the past. For example, the television shows and educational materials for "Live from a Black Hole" and "Live from the Edge of Space," reached an estimated 5 million students. Either directly or indirectly, the *Beyond Einstein* theme now provides much of, and soon the *majority* of, all materials on these subjects in our nation's schools.

Finally, *Beyond Einstein* missions will weave an ongoing story that is considered one of the most compelling in all science — a story that will form the raw material for museum exhibits, planetarium shows, radio programs, and other media outlets. We know that the public clamors to be involved in this story, because they vote with their feet and their pocketbooks: More Americans visit science museums and planetariums than attend all sporting events combined — more than 120 million in 2001 — and the *Beyond Einstein* theme remains a favorite there. For example, a recent planetarium show on black holes and the Big Bang (*Journey to the Edge of Space and Time*) increased attendance in both Boston and Philadelphia by more than 20% above normal. Public television's NOVA show on dark energy (*Runaway Universe*) was seen by more than 2.1 million Americans — almost as many as watch all three cable news networks combined. And a national traveling exhibition featuring mysteries from the *Beyond Einstein* theme (*Cosmic Questions*) is expected to reach up to 4 million visitors.

Possible Sidebars/ Callouts [to sprinkle elsewhere in text]

Several million people visit websites on the *Beyond Einstein* theme each year.

"Thank you for such an educational site for children. I am a homeschooler and this is so comprehensive." - Mrs. D.

"I was immediately hooked! You are stirring the imagination and interest of today's kids!" - Testimonial from user of Chandra education materials

Public interest in the Chandra X-ray Observatory has led to more than 850 newspaper articles and wire stories — including 27 in the NY Times, Washington Post, and USA Today — and more than 10 newscasts, including CNN, ABC, CBS, and NPR.

NOVA's television show on dark energy was watched by 2.1 million Americans—almost as many as all cable news network stations combined.

The show, *Journey to the Edge of Space and Time*, increased attendance at planetariums

in Boston and Philadelphia by more than 20

Imagine the Universe!, a website on *Beyond Einstein* themes, has been visited by millions of Americans (<http://imagine.gsfc.nasa.gov>).

The Starchild web site for elementary students was one of the first winners of the Webby award for Education. (<http://starchild.gsfc.nasa.gov>)

"I am so thankful that I just saw on TV the RUNAWAY UNIVERSE today and then discovered this website tonight. How can we be so lucky as to have these educational tools available? While I am a great-grandmother of two and have not studied chemistry, math or physics ever, I am hooked. Please keep giving this inspiring information to us and especially to the young future scientists. - Betty H., NC.

"I [attend school] in Nacogdoches, Texas. I feel that more astronomy-based learning should take place- in history and English classrooms as well as science. The heavens are very important to many cultures and I feel our studies in school do not show a true picture of these cultures without a focus on astronomy". - Bethany G., Texas

"Exploring the cosmos has been something I have been drawn to for as long as I can remember. I am most interested in learning about the beginning and end of the universe, and also exploration into black holes in terms of their role in the universe." — from a high school sophomore responding to the GLAST website

"I am a physics teacher who just started teaching astronomy and this site [Imagine the Universe] has proven quite useful. The activities are great! "

NEED STATISTICS or prominent examples of scientists and engineers who entered science through astronomy.

NEED Statistics on sales of hot BE theme books: e.g. Hawking's *A Brief History of Time*, on Times best seller list 4 years, longer than any other book ever.

NEED Examples of BE theme movies and SciFi (Black Hole, Einstein...).

"We seize only a bit of the curtain that hides the infinite from us." - Maria Mitchell

## **Part II: Cycles of Matter and Energy**

## Chapter 6

# Science Objectives: Cycles of Matter and Energy

*Cycles Objectives and RFAs*

OBJ-C1 Explore the cycles of matter and energy in the evolving Universe.

RFA-C1 Explore where and when the chemical elements were made.

RFA-C2 Understand how matter, energy and magnetic fields are exchanged between stars and the gas and dust between stars.

RFA-C3 Discover how gas flows in disks and how cosmic jets are formed.

RFA-C4 Identify the sources of gamma-ray bursts and cosmic rays.

OBJ-C2 Understand the development of structure in the Universe.

RFA-C5 Learn what physical processes gave rise to galaxies and systems of galaxies.

RFA-C6 Explore the behavior of matter in extreme astrophysical environments.

RFA-BE2 (from BE) Determine the size, shape, age and energy content of the Universe.

RFA-BE4 Determine how black holes are formed, and how they evolve.

## 6.1 A Rich and Diverse Universe

The Universe is a dynamic, evolving place—the cosmic equivalent of the web of biological and physical interactions that shape our own planet. The SEU portfolio includes missions that have revolutionized our understanding of the cycles of matter and energy in the Universe.

To understand the structure and evolution of the Universe we use tools from throughout the electromagnetic spectrum to explore diverse astrophysical venues. The Chandra X-ray Observatory has been notable in this regard, opening our eyes to the richness of the X-ray Universe as the Hubble Space Telescope has done for the optical part of the spectrum.

The Universe is governed by cycles of matter and energy, a web of physical processes in which the chemical elements are formed and destroyed, and passed back and forth between stars and diffuse clouds. It is illuminated with the soft glow of nascent and quiescent stars, fierce irradiation from the most massive stars, and intense flashes of powerful photons from collapsed objects. Even as the Universe relentlessly expands, gravity pulls pockets of its constituents together, and the energy of their collapse and the resulting nucleosynthesis works to fling them back apart.

The aim of the SEU theme is to understand these cycles, and how they created the conditions for our own existence. To understand how matter and energy are exchanged between stars and the interstellar medium, we must study winds, jets, and explosive events. Our task includes uncovering the processes that lead to the formation of galaxies. Finally, we seek to understand the behavior of matter in extreme environments, such as produce gamma-ray bursts and the highest energy cosmic rays.

The missions of *Beyond Einstein* can address some of the goals of the *Cycles of Matter and Energy* program. But to unravel the interlinked cycles, future missions with additional capabilities are needed.

- To decipher the flows of gas and energy in the first galaxies: a cryogenic, large aperture infrared observatory.
- To uncover how supernovae and other stellar explosions work to create the elements: an advanced Compton telescope and a hard-X-ray spectroscopic imager.
- To map the “invisible” Universe of dark matter and gas expelled during the birth of galaxies: a large aperture telescope for imaging and spectroscopy of optical and ultraviolet light.
- To measure the motions of the hottest and coldest gas around black holes, a radio interferometer in space.
- To see the birth of the first black holes and their effect on the formation of galaxies, and to probe the behavior of matter in extreme environments: a very large aperture arc-second X-ray imaging telescope.
- To determine the nature and origin of the most energetic particles in the Universe today: a mission to observe their collisions with the earth.

**FIGURE** A web of matter and connections...

Figure 6.1: As the web of primordial material condenses into the first galaxies, the interactions of light and matter constitute a similarly complex web of interdependence. It is such a picture of our cosmic ecosystem that the SEU theme is poised to explain.

**FIGURE:** From gas to stars and back again ...

Figure 6.2: A huge, billowing pair of gas and dust clouds is captured in this Hubble telescope picture of the super-massive star Eta Carinae. Eta Carinae suffered a giant outburst about 150 years ago, when it became one of the brightest stars in the southern sky. Though the star released as much visible light as a supernova explosion, it survived the outburst, and now returns processed material to the interstellar medium in two lobes and a large, thin equatorial disk. Eta Carinae is one of the most massive stars in our galaxy.

The intellectual challenge for the SEU theme encompasses the birth, death, lifecycles, and interrelationships between galaxies, stars, black holes, and the gas, dust and radiation fields that permeate the space between them.

## 6.2 What we have learned

Before describing how we plan to proceed, we briefly review what we have learned so far, from NASA missions and other enterprises, about the principal actors in this web: stars and galaxies.

### 6.2.1 Stars: Engines of Change in an Evolving Universe

For a star, mass is destiny—the low mass stars slowly fuse hydrogen into helium, while massive stars burn fiercely for a brief cosmic moment. Stars about one half the Sun’s mass or less have a lifetime which is at least as long as the present age of the Universe. These stars show that our Galaxy once lacked the heavy elements out of which planets and people are made. Stars of later generations, like the Sun, inherit a legacy of atoms created by short-lived massive stars when the Universe was young.

Massive stars brew new elements—oxygen, calcium, iron—and return them to space through stellar winds or, at the end their lives, in supernova explosions that also create elements heavier than iron. The accumulated products of these events become the material for new stars which form in the densest interstellar regions, which also serve as cradles for organic molecules related to life.

Lower mass stars like our Sun evolve sedately. As they run out of hydrogen fuel, they slowly expand to become large, cool “red giant” stars. These stars exude strong “stellar winds” that are the major source for interstellar carbon, oxygen and nitrogen, and end their lives as planet-sized “white dwarfs.”



**FIGURE:** Fountains of new elements spraying into the Universe...

Figure 6.3: This HST snapshot of the galaxy NGC3079 reveals dramatic activities in the core where a bubble of hot gas is rising above the galaxy disk. The bubble is likely being blown by winds from a burst of star formation. Gaseous filaments at the top of the bubble are being expelled into intergalactic space. Eventually, some of this gas will rain down on the disk to form new generations of enriched stars. This picture illustrates rampant star formation in a nearby galaxy, and the exchange of processed gas with the intergalactic medium surrounding it.

Stars are the factories for new elements in the Universe and, by the energy that they deposit there, mix the raw material for succeeding generations. The SEU theme is committed to mapping the processes by which these stellar factories build up the Universe.

### 6.2.2 Galaxies: Bringing it all Together

These stars congregate, by the billions, in billions of galaxies. Galaxies span a wide range of sizes and shapes. To explain this rich variety, SEU missions will trace their evolution from their origins in the early Universe to the intricate systems we find today.

We know that when the Universe was a much younger and more violent place, super-massive black holes were gorging themselves in a natal feeding frenzy as galaxies formed around them. The signposts of this process are the quasars and active galactic nuclei. Even relatively quiet galaxies like our own have massive black holes lurking at their centers. What role did black holes play in the evolution of galaxies?

It is a daunting challenge to study events that happened billions of years ago in faraway places. We can do this in three ways. We can measure the ages of a census of stars in nearby galaxies to reveal their history of stellar births. We can study nearby galaxies still under construction today. And we can use powerful telescopes as time-machines to see the past directly: as telescopes peer farther out into space, they peer back in time.

The plan for the SEU theme takes three concerted approaches – cosmic censuses, looking at the Universe long ago and far away, and understanding contemporary mechanisms of galaxy building.

## 6.3 The Next Steps: The Space Astronomy Imperative

Space based telescopes are uniquely suited for disentangling the cosmic web. Different wavelengths probe different physical regimes. Rather than peering out through the narrow bands that our atmosphere transmits, we can choose our view based on its information content. Space platforms allow precision pointing and the clearest views of the Universe. They readily support highly sensitive cryogenic instruments.

**FIGURE:** Glimmers of secrets through the murk...

Figure 6.4: The infrared transparency of a nearby dust enshrouded galaxy (Cen A) is illustrated by this HST optical image at left with the near infrared NICMOS image of the nuclear region inset at right. The central engine of this galaxy is clearly revealed at infrared wavelengths.

**FIGURE:** Details of a distant youth... \*\*add a Herschel beamsize within the SIRTf circle\*\*

Figure 6.5: The infrared beams (spatial resolution) of a 10m cold far- infrared telescope (small yellow circles) are superimposed on a simulated NGST image. Extragalactic targets for this large far infrared telescope are shown under these yellow circles, with their vast redshifts indicated. The large red circle shows the beamsize of SIRTf, which is too large to distinguish individual distant galaxies. The large cold telescope would clearly isolate, and even resolve many of the newly born galaxies at the edge of the Universe.

### 6.3.1 Of New Stars and New Galaxies

The birth of stars out of interstellar clouds is best observed with infrared telescopes, which can penetrate into dusty regions. The signatures of these events have now been detected in the distant Universe, marking the births of the first generations of stars out of the primordial soup.

First generation stars were formed in warm, dense clouds of helium and molecular hydrogen, which emits at infrared wavelengths that can only be seen from space. A cryogenic, large aperture infrared observatory would offer a unique window into early star formation. Such a mission (SAFIR) could build upon Next Generation Space Telescope (NGST) technology and was recently endorsed as a high priority by the NAS Decadal Survey.

RFA-  
C1,2,5

While Constellation-X will map out element building in stars within our own and nearby galaxies, we look forward to an X-ray telescope an order of magnitude larger. Such a telescope would measure X-ray spectra of supernovae in the very early Universe, where we could see the first heavy elements being formed.

RFA-  
C1,2,5

The formation of dust was a key event in the very early Universe. It shrouded stellar “nurseries” from the damaging effects of ultraviolet light. This energy was reradiated in the infrared. For the farthest sources, the cosmic red shift moves the signal peak towards even longer far infrared and submillimeter wavelengths. Since the peak moves closer to these bands as we look farther away, they fade less quickly with distance than they do at other wavelengths. This makes far infrared and submillimeter probes especially powerful as cosmological tools.

RFA-  
C5,  
BE2

Newly created elements provided bright emission lines useful for measuring redshifts, and also contributed to rapid cooling of interstellar clouds, leading to more star formation.

The wavelength bands from the infrared through X-rays offer a rich suite of spectral lines for chemical identification of gas clouds. With high spectral resolution they can be used to trace the flows of this gas in detail. Submillimeter interferometers will offer dramatic improvements in image clarity, complementing the huge increases in sensitivity that single-dish instruments will provide.

Such observatories will take the next leap beyond the Space Infrared Telescope Facil-

**FIGURE:** Visions of new elements from a cosmic furnace...

Figure 6.6: Color composite of the supernova remnant E0102-72: X-ray (blue), optical (green), and radio (red). E0102-72 is the remnant of a star that exploded in a nearby galaxy known as the Small Magellanic Cloud. The Chandra X-ray Observatory image, shown in blue, shows gas that has been heated to millions of degrees Celsius by the rebounding, or reverse shock wave. The X-ray data show that this gas is rich in oxygen and neon. These elements were created by nuclear reactions inside the star and hurled into space by the supernova.

ity (SIRTF), the Stratospheric Observatory for Infrared Astronomy (SOFIA) and Herschel. They will complement longer wavelength ground-based investments such as the Atacama Large Millimeter Array (ALMA). Building on the results of the Hubble Space Telescope (HST) the Far Ultraviolet Spectroscopic Explorer (FUSE), the Chandra X-ray Observatory, XMM-Newton, and Astro-E2, large aperture ultraviolet and X-ray telescopes in space will greatly increase the number of detectable background sources. Constellation-X will provide vastly improved spectral performance. Looking at distant sources allows us to probe the hot component of the interstellar medium in our own Galaxy on different lines of sight.

RFA-C2

|  |
|--|
| Seeing the earliest stars in the earliest galaxies is now within our technological reach. We will build telescopes that will do this, and efficiently detect and assay interstellar gas out of which these stars are made. |
|--|

### 6.3.2 The Explosive Enrichment of Galaxies

The structure and evolution of the Universe is strongly driven by stellar collapse and explosive events, which inject energy as well as elements essential to life into the interstellar gas.

Supernovas bright enough to observe directly are relatively rare. But the rapidly expanding remnant that it leaves behind cools slowly, and mixes with the surrounding interstellar medium on a similarly long time scale, revealing their composition for centuries afterward. There are many such remnants in the vicinity of our galaxy, and Constellation-X will play an important role in determining their makeup.

RFA-C2

Radioactive elements are formed in detonation and core collapse supernovae, during nuclear burning on white dwarf novae, and in the inner accretion disks of neutron stars and black holes.

An advanced Compton telescope can be used to study the explosion mechanisms in core-collapse supernovae. While pioneering efforts have come out of the Compton Gamma Ray Observatory, and will be strengthened by the forthcoming INTEGRAL, a dramatic improvement in sensitivity is required to study more than a few supernovae, and to make measurements on a time scale shorter than the decay lifetimes of the key isotopes. Recent technical advances offer increased sensitivity, lower background, and improved energy resolution.

RFA-C1

Gamma-ray line telescopes will also help studies of classical novae, in which hydrogen rich material from a close companion is more delicately deposited on a white dwarf, inducing a localized thermonuclear runaway. Even in these smaller explosions, short-lived isotopes of light elements are produced, and should be detectable over much of the galaxy.

RFA-C1

**FIGURE:** Glowing embers of galactic nucleosynthesis...

Figure 6.7: In this wide angle 1.809 MeV gamma-ray view of the Milky Way Galaxy from the Compton Observatory, bright spots made by radioactive  $^{26}\text{Al}$  show clearly. With a half-life of about a million years—short compared with the timescale of nucleosynthesis—the bright spots that concentrate in the inner galaxy must be contemporary sites of elemental enrichment.

Studies of gamma-ray bursts (GRBs) have produced some of the most striking science of the last decade. The Compton Observatory established that GRBs were uniform over the sky. Beppo-SAX identified optical afterglows that demonstrated that GRBs are extragalactic in origin. As a result, these bursts are now understood to outshine, for minutes at a time, the galaxies in which they originate. Those that last longer than about one second are most likely associated with massive stars and core-collapse supernovae. While the statistics are still sparse, future survey missions such as SWIFT and GLAST will dramatically enhance the sample.

RFA-C4

Some gamma-ray bursts signal the death of a star and the birth of a black hole. Others may arise when a star is swallowed by a nearby black hole. A wide-field, high sensitivity advanced Compton telescope, and the Black Hole Finder Probe from the *Beyond Einstein* program, will search for dim GRBs, both nearby and distant. Ground-based and space-based optical follow-up studies will supplement these efforts.

RFA-C4, BE4

|   |
|---|
| Future telescopes will let us see nucleosynthesis happen, and chart how the Universe gets seeded with the materials out of which we are made. |
|---|

### 6.3.3 Light and Wind from the Heart of the Beasts

This roadmap features a focused program (*Beyond Einstein*) on the physics of spacetime around compact objects. These play an important role in the large scale structure and evolution of the Universe, especially in its early history.

Compact objects—white dwarfs, neutron stars, black holes, and quark stars—are the endpoints of stellar evolution. These objects allow observational access to extremes of density, pressure, temperature and field energy. The existence of neutron stars and black holes is no longer in doubt. Quark stars could be revealed by X-ray observations. Neutron stars offer extraordinary densities of matter and magnetic field strengths. Unique processes, including coherent synchrotron emission from pair cascades and the magnetic-field conversion of gamma rays to electron-positron pairs, take place near these objects. These cosmic laboratories test physics under extreme conditions that we cannot reproduce on Earth.

RFA-C6

Compact objects can be probed in many ways. A cooling neutron star appears as a hot object in X-rays. Neutron stars cool over a few thousand years, and the cooling rate and the spectrum provide information about the neutron star interior. Matter falling onto a neutron star from a binary companion also heats up and can ignite in thermonuclear explosions. Oscillations in the X-ray emission of compact objects reveal instabilities in the accretion disk, and even the underlying physics of the hidden neutron-star interior. Compact object studies reveal the activity of high-mass stars that produce the heavy elements required for life to form.

RFA-C3,6

**FIGURE:** Revealing gravitational rogues inside galaxies....

Figure 6.8: The Chandra X-ray Observatory's image of the galaxy NGC 1553 reveals diffuse hot gas dotted with many point-like sources, which are due to black holes and neutron stars in binary star systems. The bright central source in NGC 1553 is probably due to a supermassive black hole in the nucleus of the galaxy. The nature of the spiral feature curling out from either side of this source is not known. It could be caused by shock waves from a pair of bubbles of high energy particles that were ejected from the vicinity of the supermassive black hole.

**FIGURE:** [Multi-scale (0.01pc-kpc) AGN diagram w/ warped disk.]

In recent years SEU space missions such as the Chandra X-ray Observatory and the Compton Gamma Ray Observatory (CGRO), have shown that gas falls onto compact objects via accretion disks. As the gas loses energy it emits powerful radiation that can be seen by high energy telescopes, or indirectly with infrared and radio telescopes.

RFA-  
C3,BE4

These nuclear furnaces are often shrouded by the very dust and gas that provides the fuel for the beast. The veil can be penetrated by infrared, radio, and X-ray or gamma radiation strategies. The Gamma Ray Large Area Telescope (GLAST), now in development, will use high energy gamma rays to see the most energetic regions around black holes. The Black Hole Finder Probe from *Beyond Einstein* will take a census of nearby black holes. These studies will help us pin down the role black holes have played in the development of galaxies.

RFA-  
C2,5,BE3

Quasars are active galactic nuclei so bright that they outshine the surrounding galaxy. Because of their high luminosities, AGNs can be seen at very great distances, providing fundamental information about the era when AGN were far more common and the Universe was only 20% of its present age. NGST and a more powerful successor to HST could be used to study AGN during this epoch.

RFA-  
C2,3,BE4

Did supermassive black holes form by merger of smaller ones, were they massive when they first formed, or did they grow by eating their galaxies from the inside? The Chandra X-ray Observatory has detected supermassive black holes out to  $z = 5$ , long before most of stars were formed. LISA and NGST will measure the properties of even more distant black holes. Constellation-X will study these galaxies in spectroscopic detail, determining their composition and the rate at which they are being devoured by their central black holes. Such observations would help us design an eventual vision mission that could see even quiet galaxies at great distances and round out our picture of galaxy formation.

RFA-  
BE4,C5

Since the accretion disk is the fuel source for compact objects, better understanding of these objects will require us to figure out how the disks work. New instruments from the *Beyond Einstein* program will help us study the accretion disks of supermassive black holes.

RFA-C3

Accretion disks are also studied using ground-based very long baseline interferometry (VLBI). This can map radio-emitting material in the accretion with a resolution over a hundred times finer than HST gets at visible wavelengths. Recent VLBI maps of AGN have detected intense water emission lines. This emission arises in the cool, outer parts of the accretion disk, and have made it possible to measure the masses of several nearby

**FIGURE:** Swirling disks of death around black holes...

Figure 6.9: In this artist’s rendering, gas spirals into an accretion disk around a supermassive black hole at the core of a galaxy. The gravitational energy liberated by the infall causes the central region of the disk to become fiercely luminous, and it drives a jet of material outward along the polar axes of the galaxy, which is seen in the inset.

**FIGURE:** Firing celestial beams of matter... May also be a Chandra/VLA image??

Figure 6.10: The large improvement in spatial resolution of space radio interferometry over that accessible from the ground allows the inner parts of nearby galactic accretion disks, and even event horizons of nearby black holes to be probed. At left is a high resolution VLA image of the jet flowing out of the supermassive black hole in the galaxy NGC4158. At right, an artists conception of the launch region of the jet, with the event horizon of the black hole at center. It is this vastly smaller scale that space interferometry will probe. Such images will give new understanding to the origin, acceleration, and collimation of the flow.

supermassive black holes with unprecedented accuracy.

The full power of radio interferometry will not be realized until space-based telescopes provide longer baselines and shorter wavelengths. Molecular maser lines would offer information about mass motions in the cooler, outermost part of the disk. A proposed new radio interferometry mission (iARISE) was recently endorsed as a priority by the NAS Decadal report. This would resolve accretion disks out to almost 200 Mpc, and probe the inner disk that surrounds the closest supermassive black hole, in the galaxy M87. Such measurements will supplement the more complete dynamical picture provided by Constellation-X and the vision mission Black Hole Imager.

RFA-  
C3,BE6

Of special interest is the black hole that sits quietly at the center of our own Milky Way Galaxy. The closest massive black hole, it offers special opportunities. While it now seems to be accreting little matter, a more exciting recent history may be reflected in the motions of nearby material. Though hidden from view by the disk of our galaxy, this material is accessible to us at radio, infrared) and X-ray wavelengths.

RFA-C3

Accretion disks around black holes often produce powerful “jets” along their polar axes, which effectively clear away the raw materials of star formation in these directions. Understanding how these jets are made, and what role they play in the accretion process, is a major unsolved problem. While jets have now been observed throughout the electromagnetic spectrum, new telescopes with vastly increased sensitivity, spectral resolution, and clarity of view such as Constellation-X will permit a coordinated attack on gas flows in these disks, and the acceleration mechanisms by which the jets — truly cosmic cannons — are formed.

RFA-  
C3,6,BE6

Detailed comparison of star formation in galaxies with active nuclei will be needed to investigate the roles that accretion disk-driven winds and point-like gravitational fields have on the formation of stars and the evolution of galaxies.

|   |
|---|
| Peering into the hearts of galaxies, we will use new telescopes to study the powerful flows of matter and radiation that emanate from the massive black holes at their cores. |
|---|



FIGURE: [artist concept of accreting binary, preferably supersoft source...]

FIGURE: [consider substitution of a graphic of a historical supernova here — people looking at the sky, accompanied by a picture of the remnant]

Figure 6.11: SN2000cx is a Type Ia Supernova in the outer part of NGC 524 at about 35 Mpc distance, below and to the right of the brightest star in the figure on the right. Gamma ray observations can determine how much of the light from the supernova (light curves shown) is powered by radioactive decays from isotopes that are formed in the event.

### 6.3.4 Understanding Nature’s Flash Bulbs to Measure the Universe

Supernovae play a profoundly important role in the chemical enrichment of the Universe. But they can also help us measure it! Type Ia supernovae are uniquely important in this regard because they are very bright and have roughly constant peak brightness. These cosmic flash bulbs can thus be used to measure the large scale geometry of the Universe. An intensive hunt for such supernovae is under way, and early results have led to the monumental realization that the expansion of our Universe is accelerating. While Type Ia supernovae (SN Ia) appear to be ideal for this kind of work, and provide a possible basis for the Dark Energy Probe of the *Beyond Einstein* program, their utility as a standard candle ultimately rests on our detailed understanding of their nature. They most likely arise from the detonation of a white dwarf that pulls so much mass off of a nearby companion that it collapses, triggering an explosive thermonuclear burn. But we cannot understand the evolution of their properties over cosmic times without modeling their nuclear burning and dynamics.

RFA-  
C6,2,BE7

A supernova of Type Ia can eject large quantities of newly formed radioisotopes. These can be identified by their characteristic gamma-ray emission lines. By observing and modeling this radiation, missions such as an advanced Compton telescope and a hard X-ray observatory will provide a more solid basis for the use of Type Ia supernovae as a probe of cosmology. Such a telescope could detect all Type Ia supernovae out to at least the Virgo Group, providing a sample of many events per year.

RFA-  
C1,BE7

|   |
|---|
| We are ready to understand how the standard candles burn that will light our way to the early Universe. |
|---|

### 6.3.5 Visions of Annihilation

Our Universe is asymmetric, made primarily of normal matter with Only small amounts of antimatter. The exact amount of antimatter, however,remains uncharted. While the search for baryonic antimatter can be conducted with cosmic ray and gamma-ray experiments, our Galaxy, and perhaps our Universe as a whole, is faintly glowing in the annihilation of a lightweight form of antimatter, the positron (or anti-electron). In such an annihilation, an electron and its positron counterpart most often directly annihilate into two 511 keV photons. Positrons are formed by the decay of radioactive elements, or as products of cosmic ray interactions. Large scale positron production are theoretically expected from black hole antimatter factories.

**FIGURE:** Searching for sources of antimatter in a galactic forest...

Figure 6.12: The image of 511 keV radiation from the Compton Gamma Ray Observatory is shown in the lower image, and covers about ten degrees of the sky around our Galactic Center. This is the highest resolution positron annihilation image available. At top is the wealth of structure in the very center of this region as seen in three different parts of the spectrum. This montage is illustrative of our need for much more detailed images from new generation gamma ray telescopes to identify the sites and sources of antimatter in the inner galaxy.

Low resolution positron annihilation maps of the Milky Way made by the Compton Gamma-Ray Observatory reveal recognizable features from the disk and inner bulge of our galaxy, as well as evidence for emission concentrated at the center. The origin of these positrons is unclear, but radioactivity is a likely source. The maps show that positrons are distributed on a galaxy-wide scale, but the pattern does not match that of any stellar component. Emission from compact sources could be highly transient, indicating that it may be dominated by a few compact sources, such as the mysteriously quiescent black hole at the center.

We look ahead to building new low-energy gamma ray telescopes designed specifically to search for annihilation radiation. With vastly higher spatial resolution and sensitivity than the Compton Observatory, such telescopes can reveal discrete sites of positron production in our own galaxy, and measure the production rates in other nearby galaxies. Observing the center of our galaxy will establish whether a burst of star formation there is responsible for driving a superwind laden with positrons and newly synthesized material.

|   |
|---|
| Antimatter is being produced prodigiously in at least our own Galaxy. We will locate the source and understand how it produces this extraordinary material. |
|---|

RFA-  
C2,5,6

### 6.3.6 The Mystery of the Missing Matter

According to the best cosmological models the total gravitational mass of the Universe appears to vastly exceed what we can directly observe. Roughly 90% of this mass is in some form that is fundamentally different from ordinary matter. Determining the nature of this non-baryonic matter is one of the central goals of both physics and astronomy. It is an elusive constituent that neither emits nor absorbs light of any form, and reveals its presence only through its gravity. For this reason it is most often called “dark matter”. The second type of missing matter is comprised of ordinary baryons. Estimates based on the measured primordial ratios of hydrogen, helium, and deuterium lead, in a fairly straightforward prediction, to a missing baryonic component that exceeds the total mass in normal stars and interstellar gas today by a factor of 5 to 10.

Galaxies are surrounded by halos of non-baryonic dark matter that help to gravitationally trap the stars and gas. Elliptical galaxies contain hot X-ray emitting gas that extends well beyond where we can see stars. By mapping this hot gas, which has been one focus of X-ray missions such as Chandra, XMM-Newton, and Astro-E2, we can develop a reliable model of the whole galaxy, Showing where the dark matter. Constellation-X will give a dynamical



**FIGURE:** Making missing matter appear... [let's get a Chandra version of this!]

Figure 6.13: The NGC 2300 group of galaxies contains a large reservoir of million-degree gas glowing in X-rays. A false-color X-ray image of the hot gas taken by ROSAT is superimposed here on an optical picture of the galaxy group. Gravity from the luminous parts of the galaxies alone is not enough to keep the gas in its place. There must be large quantities of dark matter whose gravity is preventing the gas from escaping.

**FIGURE:** Warped images from a clumpy Universe

Figure 6.14: Light rays from distant galaxies travel a tortuous path through a Universe filled with a web of clustering dark matter. Every bend in the path of a bundle of light from a distant galaxy stretches its apparent image. The orientation of the resulting elliptical images of galaxies contains information on the size and mass of the gravitational lenses distributed over the light path. Light bundles from two distant galaxies which are projected closely together on the sky follow similar paths and undergo similar gravitational deflections by intervening dark matter concentrations.

handle on the problem. Gravitational lensing provides yet another probe of dark matter. RFA-

The missing baryonic matter is also important and elusive. Although some could be hidden from us in collapsed gas clouds or cold stars too dim to see, most is now believed to lie between the galaxies in the form of very tenuous and nearly invisible clouds of gas. Some may be associated with galaxies themselves, and some may follow the intergalactic web defined by non-baryonic matter. We want to find this missing matter to understand why so little of it was used to build stars and galaxies. By 2010, surveys will have outlined the distribution of luminous baryonic matter in the Universe in fine detail, but the intergalactic component will still be largely unexplored. BE2

An efficient way to locate missing baryonic matter in the darkness of intergalactic space is to look for absorption of light from distant quasars. The Lyman  $\alpha$  line is an exquisitely sensitive probe for cold hydrogen gas. If the baryonic dark matter is mainly primordial such an ultraviolet detection strategy would be the only option. If the gas is hot and chemically enriched, then Constellation-X and large next-generation X-ray and ultraviolet telescopes will be able to see absorption lines from heavier elements. While these efforts are difficult, and just beginning on HST, FUSE, and Chandra, Constellation-X and new generation ultraviolet and X-ray telescopes will be needed to complete the task. RFA-

The fluctuations of the cosmic microwave background radiation, are a powerful tool for assessing the total mass content of the Universe. First detected by the COBE a decade ago, the recently launched Microwave Anisotropy Probe (MAP) will characterize the scale of the fluctuations. The future Planck mission will extend this to smaller scales and look for polarization signatures. The most important fluctuations are on scales of arcminutes, so it is essential to map the distribution of dark matter on a comparable scale. The *Beyond Einstein* program includes an Inflation Probe that will measure the polarization of this background. These will reveal gravitational lensing by intervening matter, light or dark. BE2

Once we understand the missing baryonic matter, we will have the first glimpses into the role that it plays in the evolution of our Universe. RFA-  
BE2,C5

FIGURE: need cosmic ray airshower graphic.

New generation telescopes will be able to locate and assay the both the baryonic and non-baryonic components of the missing matter, answering a longstanding problem with profound cosmological ramifications.

### 6.3.7 Bullets of the Cosmos

The origin of cosmic rays is a 90-year-old mystery. Most of these high energy nuclei are thought to be hurled at us by supernova shock fronts, perhaps from collisions with dust grains. The distribution of cosmic-ray energies is remarkable in that it is almost a constant power law over at least 13 decades in energy. A small steepening, or “knee,” in the power law near  $10^{15}$  eV is thought to represent the limit to energies achievable by supernova shock acceleration. New missions designed to measure the composition of these cosmic rays will explore the connection of cosmic rays to supernovae by identifying these high energy nuclei. One such mission, ACCESS, has been recently endorsed as a high priority by the NAS decadal survey.

RFA-  
BE2

At higher energies, the mystery deepens. In fact, we have detected cosmic rays spectrum up to  $\sim 10^{20}$  eV, where individual particles have the energy of a well-hit baseball! About the only conceivable sources for these particles are galactic nuclei, giant extragalactic double radio sources or the mysterious sources that give rise to gamma-ray bursts. Scattering off cosmic background photons should make the Universe fairly opaque to these highest energy particles, so they must come from nearby sources. It has been suggested that the highest energy particles could come from the annihilation of topological defects formed in the early Universe. The detection rate of these particles is so low that we see too few to describe their properties well. Space instruments capable of monitoring large areas of the Earth’s atmosphere for the showers that these rare particles produce will establish the energy spectrum of these highest energy cosmic rays and are likely to determine directions to their sources.

RFA-C4

Cosmic rays are a window into how nature can channel enormous power into individual atomic nuclei. New observatories will reveal how our Universe is able to act as an extreme particle accelerator, the power of which is unapproachable on Earth.

## Chapter 7

# Technology Roadmap: Cycles of Matter and Energy

The SEU science program in the area of Cycles of Matter and Energy will encompass measurements across the entire electromagnetic spectrum, from radio waves to gamma-rays. Improvements in sensitivity, spectral and spatial resolution, and collecting area are needed. This will require vigorous technology development. In the earliest stages (TRLs 1-3), new space technologies will be pursued through the R& A programs. NASA's SBIR (Small Business Innovative Research Program) provides another vital yearly addition to SEU technology development. However, both of these will eventually lead to detailed engineering studies. From that point on, future SEU missions cannot succeed without the focused and stable funding of a dedicated technology program.

Highlighted below are the technologies required for SEU missions that are currently envisioned. These encompass optics, detectors, and spacecraft systems.

## 7.1 Large, Lightweight Optics

Continuing exploration of the universe will require bigger and better space telescopes at all wavelengths. Robust large-aperture lightweight optical systems must be developed if launching is to be feasible and affordable. Ways must be found to increase apertures, reduce density, lower operating temperatures and improve surface quality, through programs that are rapid and cost effective.

Stiffer materials would permit larger apertures with lower areal densities. Low and uniform coefficients of thermal expansion will simplify cryogenic operation. Stress-free deposition and curing would enable low-cost mass production.

Fabrication poses many challenges, from the logistics of handling large and fragile optical components to the treatments required to obtain the desired surface quality, and the development of cryogenically cooled optics. Research must investigate a broad range of techniques for grinding, polishing, and forming. Means for characterizing optical performance and in-space contamination, both by measurement and analysis, are essential. As optics become larger and lighter, adequate ground testing may no longer be feasible. Analytical modeling will be crucial to the success of such missions.

Finally, we must not forget the hostility of a cryogenic space environment to simple yet critical devices: hinges, latches, and actuators.

## 7.2 Detectors

Detector technologies in all electromagnetic wavebands have advanced dramatically in recent years, enabling most of the SEU missions currently flying or nearing launch. A detector is characterized by its quantum efficiency, its spectral bandpass, and in some cases its intrinsic spatial and spectral resolution. Ancillary technologies include read-out electronics, digital processors, and cooling systems. The demands of upcoming missions will require major advances in all of these areas. A few examples are detailed below:

### **7.2.1 Radio Interferometry**

Space-based (or space-to-ground) radio interferometry requires improved sensitivity, particularly at the shorter wavelengths. High-priority technologies include large space-based apertures with sub-mm surface accuracy, wide-bandwidth communications from space to ground, and cooling for receivers in space. Since optimum imaging for space-ground baselines requires highly elliptical orbits that pass through the Earth's radiation belts, robust materials and electronics for a high-radiation environment are essential. Correlation of high bandwidth signals will also demand precise orbit determination.

### **7.2.2 Submillimeter/Far Infrared:**

The development of large format detector arrays is critical for the submillimeter and far infrared. Both direct detectors and heterodyne instruments are required. The former need improved sensitivity and scalability to large arrays; the latter also need more stable oscillators and quieter electronics, especially at the highest frequencies.

### **7.2.3 Near Infrared/Optical:**

Imaging detectors based on charge coupled devices and low bandgap array detectors have been available for a number of years. Future missions demand extremely large (billion-pixel) arrays, posing new challenges in production yield, detector uniformity, detector packaging, high-speed readout, and on-board data storage. Improvements in readout noise, quantum efficiency, spectral coverage, charge transfer efficiency, and radiation hardness will also be required.

### **7.2.4 Ultraviolet:**

Significant improvements in ultraviolet detector sensitivity are needed. Photocathode-based photon counters permit high counting rates and good background rejection, but suffer from low quantum efficiency. UV-sensitive CCDs have higher quantum efficiency, but read noise is too high for faint source spectroscopy. So-called “3-D” energy-resolving detectors offer tremendous promise, but much larger arrays must be developed.

### **7.2.5 X-Ray:**

At X-ray energies, cryogenic detectors have revolutionized the field in recent years.  $30 \times 30$  arrays of microcalorimeters are envisioned for Constellation-X, but such small arrays have very limited fields of view. Future missions will need much larger arrays.

### **7.2.6 Gamma-Ray:**

Gamma-ray astronomy can progress through development of an advanced Compton telescope for the study of nuclear lines and continuum emission at MeV energies. The science goals require 25–100 times the sensitivity of CGRO and INTEGRAL. This will demand

major improvements in angular resolution, detector area and field of view, and background rejection. Advances in electronics, detector cooling, and event processing are also required.

### **7.2.7 Cosmic Rays:**

Future cosmic ray research will require large area million-channel particle detectors. These require low power acquisition electronics, intelligent data compression systems, and fast computing. Space-based observations of cosmic-ray showers via air fluorescence. will require fast million-pixel, wide-field light detectors.

## **7.3 Spacecraft systems**

Continued advances in spacecraft technologies are crucial to SEU science goals. Several of the envisioned missions incorporate interferometric systems on multiple spacecraft that need precision pointing and/or formation flying systems. Requirements on the accuracy of positioning and pointing are beyond the state of the art. MicroNewton thrusters, currently under development for LISA, require further study for adaptation to other missions. Thermal and mechanical stability tolerances are very tight. Advanced inertial reference systems may be required. New cryogenic technology is important for missions that require the entire optical assembly to be cooled.

## **Part III: Supporting the Roadmap**

# Chapter 8

## The Explorer Program



## 8.1 The Explorer Program

NASA's Explorer program is vital to the SEU theme. It offers frequent opportunities to carry out small and medium sized-sized missions (SMEX and MIDEX) which can be completed and launched in a short (approximately four-year) timeframe. These focussed missions can address science of great importance to the SEU theme.

The Microwave Anistropy Probe (MAP), a MIDEX mission, will answer fundamental questions about the age and matter density of the Universe, and is a vital precursor to the Inflation Probe of the *Beyond Einstein* program. Prototypes of the advanced spectroscopes for Contellation-X will fly on a Japanese spacecraft in 2005 as Astro-E2. Other proposed Explorers are relevant to Beyond Einstein, ranging from missions of opportunity (e.g. laser ranging equipment attached to missions to other planets for precision tests of Relativity in the solar system) to dedicated missions.

Science for Cycles of Matter and Energy will be done by Astro-E2 and other Explorers. The Galaxy Evolution Explorer (GALEX), a SMEX mission, will map the global history and probe the causes of star formation over 80% of the life of the Universe. The SPIDR, a SMEX mission, will detect the matter that makes up the "cosmic web" on which the structure of the Universe evolved. SWIFT, a MIDEX mission to be launched in late 2003 is dedicated to the study of gamma-ray bursts.

Each solicitation for Explorer proposals elicits more high-quality experiments than can be implemented. Peer review, the ability to implement new, creative ideas, and quick reaction to recent discoveries, are essential elements of the "faster, better, cheaper" philosophy which lies at the heart of the Explorer program. Suggesting a queue of future Explorer missions would countermand this mandate. But we are sure they will continue to be astonishingly influential.

# Chapter 9

## Research and Analysis

## 9.1 Research and Analysis

The SEU Research and Analysis (R&A) program provides opportunities to develop new ideas, concepts and methods. These are crucial to the health of the SEU theme. It has strong involvement by universities, providing the additional return of training graduate students and instrument builders for future space missions. Veterans of the suborbital program become Principal Investigators of flight missions, major instrument builders, or astronauts!

There are two major components to R&A. 1) experimental research (hardware development, suborbital flights and laboratory astrophysics), which creates new tools, and 2) interpretive research (theory, observations and data analysis), which makes discoveries and predicts new directions. We consider each in turn.

### 9.1.1 Experimental Research: Creating the Tools of Investigation

The R&A experimental program develops novel tools for new and better science. Ideas are tested in laboratory demonstrations and suborbital flights by balloon or rocket. This provides a cost-effective shortcut to mission-readiness for new technology.

#### Hardware Development

The R&A program is the cradle for the technology of SEU's future missions. The R&A hardware program takes development to the proof-of-concept level. At the point where engineering issues dominate, the SEU's Technology Line takes over. Mission-specific funding carries development to completion. This three-stage approach is cost-effective, encourages innovation, and minimizes risk.

The candidate detectors for Constellation-X were all born in the R&A program. These detectors have flown or are slated to fly on ASCA, the Chandra X-ray observatory, Astro-E2 and SWIFT. The R&A program also developed the specialized bolometers that will fly on Herschel, Planck, and several suborbital missions. The COBE and MAP detectors are the fruits of previous R&A development.

Future missions will need innovative optics. Optics for ASCA were first developed within the R&A program. The multilayer coatings for hard X-ray optics are currently under development. Nanotechnology developed in the R&A program led to high-resolution spectroscopy for the Chandra observatory and will enhance high-resolution imaging optics, technologies critical for Constellation-X and an X-ray Black Hole Imager.

#### Suborbital Program

The Suborbital Program produces exciting scientific results while also serving as a testbed for hardware and a training ground for scientists. The BOOMERanG and MAXIMA balloon payloads provided the best power spectrum for the CMB anisotropies prior to the advent of MAP. Combined with measurements of large scale structure, they revealed a universe that is  $\sim 70\%$  dark energy, one of the most exciting new results in cosmology.

The program features quick turnaround and low launch cost, essential for space-qualifying otherwise risky forefront technologies. Balloon and rocket flights test new capabilities vital

to mission development. GLAST, FUSE, Chandra, XMM, Astro-E2, COBE, MAP, Herschel and Planck have benefited from such efforts. For Constellation-X, balloon experiments carry CdZnTe detectors and hard X-ray optics, and X-Ray microcalorimeters were first demonstrated on rocket payloads. Future suborbital testing will include lightweight and deployable optics, cryocoolers and detectors.

Suborbital missions are ideal for quick response to new discoveries. For example, balloon flights with gamma-ray detectors developed under R&A made time-critical observations of supernova 1987A.

The suborbital program will soon support Ultra-Long Duration Balloons, which can economically carry payloads of several thousand pounds for long periods to a near-space environment. These balloons can fly at any latitude, and have active trajectory control and advanced recovery systems. The potential of this program has been widely recognized, and explicitly recommended by the NAS AASC decadal report.

## Laboratory Astrophysics

Combining laboratory experiments, modeling, and theoretical calculations, the Laboratory Astrophysics program provides scientists with the fundamental knowledge and reference data they need to make link raw observation and meaningful, scientific conclusions. The program explores a tremendous breadth of topics, from the very coldest regions deep in dark molecular clouds, to the extraordinary heat around supermassive black holes. It supports NASA's space missions from conception to completion, defining mission parameters and supporting post flight analysis.

Laboratory Astrophysics includes work on atomic and molecular properties needed to interpret astrophysical spectra. NASA programs and missions require critically compiled stable databases of these properties, available online. An up-to-date overview of current research and identified priorities in the Laboratory Astrophysics program can be found at <http://web99.arc.nasa.gov/astrochem/nasalaw/whitepaper.html>

The Chandra X-ray observatory and XMM-Newton have demonstrated the power of high resolution spectroscopy in X-Ray astronomy, and missions such as Astro-E2 and Constellation-X will exploit this technique. Current models of weak or blended features, such as the complex iron-line region, are often inadequate for interpreting observed cosmic X-ray emission. To unleash their diagnostic power, the discrepancies between these observations, laboratory measurements, and theoretical calculations must be resolved —through sound laboratory and theoretical studies. Laboratory measurements are essential to interpretation of observations at other wavelengths too. The rich infrared-submillimeter band requires laboratory measurements of the formation of solid grains and ices, and precise wavelengths for diagnostic spectral lines.

### 9.1.2 Theory, Observations, and Data Analysis: Reaping the Benefits of Investment

Space based experiments must be firmly rooted in ground based observation and theoretical calculation. Only then can data analysis close the loop that allows NASA to realize the goals of the SEU program.

## **Theory**

Theoretical studies establish the framework within which scientific questions are asked. They allow scientists to interpret data, and make the predictions which drive mission design.

Predictions of the spectrum and anisotropy of the Cosmic Background Radiation motivated COBE, MAP and Planck. The requirements for NGST have been guided by cosmological and stellar evolution theory, and those for GLAST by the theory of photon interactions in cosmic sources. Theoretical work showed that high time resolution X-ray monitoring could probe the strong gravity around black holes and neutron stars. Theorists have shown how the X-ray emission lines in accreting black holes can provide unique tests of the general theory of relativity, motivating and shaping Constellation-X.

Present and planned missions are the fruits of bold theoretical investment in the 1980's and 1990's. The R&A program is NASA'S place to nurture these visions and ensure a vital future.

## **Ground-based Observations**

Ground-based observations contribute to the development of techniques and instruments in support of space missions.

In addition, great scientific return often comes from the combination of observations across the electromagnetic spectrum. Some of these are most cheaply performed from the ground. Ground-based optical studies of the afterglows of gamma-ray bursts reveal the distance to and the nature of these sources. Nonthermal emission from active galactic nuclei covers the entire spectrum from radio to gamma-ray. Emissions in various wavelengths from the vicinity of massive black holes correlate on a time scale of days, demanding contemporaneous observation. Ground-based observations will support gamma-ray burst studies by SWIFT, AGN observations by GLAST, black hole studies by LISA and the Black Hole Finder Probe, and LISA's white dwarf studies. The Dark Energy Probe will make use of spectroscopy by larger telescopes on the ground.

## **Archival Research**

As data from previous and ongoing NASA missions mounts, so too does the value of archival research. Data from NASA's archives have led to a better understanding of important and interesting astrophysical phenomena. These archives are growing rapidly in both content and diversity. More sophisticated software tools would support searches spanning multiple archives, facilitating valuable scientific investigations long after an observatory has ceased operation. The proposed National Virtual Observatory would provide this capability.

# Chapter 10

## Critical Factors

## 10.1 Critical factors/External Assessment/GPRA

To uncover the fundamental laws of the universe, we must draw upon the talents and resources of the entire research and engineering communities. We must seek partnerships that make the best use of scarce resources. Success will require a new mode of collaboration across nations, agencies, academia, and industry.

This Roadmap incorporates the highest scientific priorities of the nation, as described in recent studies by the National Academy of Sciences. These include *Astronomy and Astrophysics in the New Millennium* (Astronomy and Astrophysics Survey Committee, C. F. McKee and J. H. Taylor, co-chairs, 2001) and *Connecting Quarks with the Cosmos: Eleven Science Questions for the New Century* (Committee on the Physics of the Universe, M. S. Turner, chair, 2002).

Traditional disciplinary boundaries must be broken down in order to share information and build models that are broader in scope. Beyond Einstein brings NASA to the frontiers of fundamental physics. Many missions will involve experimental and theoretical physicists supported by DOE and NSF.

Partner agencies will include the Department of Energy and the National Science Foundation. The collective knowledge of our universities will be tapped to develop the missions and use them to make discoveries. NASA will serve as the lead on some of the more complex missions, and as the facilitator in other missions, relying upon academic, industrial and international partnerships. Collaborations with international partners will be sought to maximize the use of existing capabilities and to minimize duplication of efforts. For example LISA has been proposed as a 50/50 split between NASA and the European Space Agency.

New knowledge must be exported quickly to the general public. As a part of the Agency's education initiative, we will seek alliances with the education and communications communities.

Technology is often the factor which limits the pace of progress. A shortfall in technology investment would threaten the development of future missions.

Investments in the infrastructure that enables researchers to communicate, organize and share information are crucial to ensure the widest participation in the research effort. These assets include the Deep Space Network, supporting orbital and ground networks, data archival and distribution networks, and high speed ground links.

The Agency must find new ways to collaborate with industry in the development of critical technologies. Where shared investment makes sense, we need to create mechanisms helpful to such initiatives. A new paradigm is required that allows the government to continue to invest in high risk areas, while planting seeds for "almost ready" technologies that have both government and commercial applications.

Launch platforms for future missions are a continuing concern. Investment in new launch technology is essential. In addition to space missions, platforms that support tests of new instrumentation are required. Balloon programs, including Ultra-long Duration Balloons are essential to this effort. Balloon flights from Antarctica are especially productive, and depend on continued NSF support of the Antarctic bases.

# Appendix A

## Appendices



## A.1 Mapping of Objectives and Research Focus Areas to Mission activities

### A.1.1 Objective BE-1: Determine what powered the Big Bang.

RFA-BE1 *Search for gravitational waves from inflation and phase transitions in the Big Bang.*

- Search for gravitational wave emission from the early Universe (LISA 1.2.1, 2.3.2)
- Detect the signature of gravitational waves from the Big Bang (Inflation Probe 1.2.2, 2.3.4)
- Directly detect gravitational waves from the Big Bang (BBO 1.2.3, 2.3.6)

RFA-BE2 *Determine the size, shape, and energy content of the Universe.*

- Measure gravitational wave energy content of the Universe (LISA 1.2.1)
- Measure the matter and energy content and the shape of the Universe (Inflation Probe 1.2.2)
- Measure the geometry of the universe using merging black holes as self-calibrated candles (LISA 2.3.2)
- Map the polarization of the cosmic microwave background and determine sources of this polarization of both large and small scales (Inflation Probe 2.3.4)
- Accurately determine the amount of dark energy in the Universe (Dark Energy Probe 2.3.3)
- Directly determine the expansion history of the Universe by timing binaries throughout the Universe (BBO 2.3.6)
- Measure the amount of quantum fluctuation and graviton fluctuation during inflation (BBO 1.2.3, 2.3.6)

### A.1.2 Objective BE-2: Observe what happens to space, time, and matter at the edge of a black hole.

RFA-BE3 *Perform a census of black holes throughout the Universe.*

- Perform an imaging census of accreting black holes, including hidden black holes, in the local Universe (Black Hole Finder Probe 1.2.2, 2.3.5)
- Determine the masses and spins of accreting massive black holes through observation of broadened emission line from matter near the black hole (Con-X 2.3.1)
- Determine the masses and spins of supermassive black holes through measurement of their gravitational waves (LISA 2.3.2)
- Observe gravitational radiation from all merging neutron stars and stellar-mass black holes (BBO 2.3.6)

RFA-BE4 *Determine how black holes are formed and how they evolve.*

- Observe gravitational waves from merging black holes (LISA 1.2.1)
- Investigate how matter releases energy close the event horizon (Con-X 2.3.1)
- Perform population studies of the life cycle of black holes (Black Hole Finder Probe 1.2.2)
- Trace the evolution of supermassive black holes in active galaxies (Con-X 2.3.1)
- Study the role of massive black holes in galaxy evolution through the detection of black hole mergers (LISA 2.3.2)
- Observe gravitational waves from the formation of black holes (BBO 2.3.6)

RFA-BE5 *Map spacetime throughout the Universe and near the event horizons of black holes.*

- Observe the gravitational redshift of line emission from gas as it enters a black hole (Con-X 1.2.1, 2.3.1)
- Determine the spacetime geometry down to the event horizon by detecting gravitational radiation from compact stars spiraling into supermassive black holes (LISA 1.2.1, 2.3.2)
- Test Einstein's theory of relativity under extreme conditions, such as merging supermassive black holes (LISA 1.2.1, 2.3.2)
- Observe gravitational radiation from the formation of black holes and other singularities (BBO 2.3.6)
- Map the motions of gas near the event horizons of black holes (BHI 2.3.7)

RFA-BE6 *Observe stars and gas plunging into black holes.*

- Dynamically observe the behavior of gas as it enters a black hole (Con-X 1.2.1, 2.3.1)
- Investigate how matter releases energy close the event horizon (Con-X 2.3.1)
- Observe compact stars spiraling into supermassive black holes through their gravitational radiation (LISA 2.3.2)
- Discover ordinary stars being torn apart as they approach black holes (Black Hole Finder Probe 2.3.5)
- Observe the gravitational radiation from stars plunging into black holes throughout the Universe (BBO 2.3.6)
- Map the motions of gas as it enters a black hole (BHI 1.2.3, 2.3.7)
- Map the release of energy in black hole accretion disks (BHI 2.3.7)
- Determine how relativistic jets are produced and the role of black hole spin in this process (BHI 2.3.7)

### **A.1.3 Objective BE-3: Identify the mysterious dark energy pulling the Universe apart.**

1. RFA-BE2 *Determine the size, shape, and energy content of the Universe.*

- Measure the matter and energy content and the shape of the Universe (Inflation Probe 1.2.2)
- Accurately determine the amount of dark energy in the Universe (Dark Energy Probe 1.2.2, 2.3.3)

RFA-BE7 *Determine the cosmic evolution of the dark energy pulling the Universe apart.*

- Measure the equation of state of dark energy (Dark Energy Probe 1.2.2)
- Measure the cosmic evolution of dark energy (Dark Energy Probe 1.2.2, 2.3.3)
- Accurately determine the amount of dark energy in the Universe (Dark Energy Probe 2.3.3)
- Directly determine the expansion history of the Universe by timing binaries throughout the Universe (BBO 2.3.6)

### **A.1.4 Objective C-1: Explore the cycles of matter and energy in the evolving Universe.**

RFA-C1 *Explore where and when the chemical elements were made.*

- Observe the formation of the first generation of stars (SAFIR 6.3.1)
- Observe the formation of the first heavy elements in supernovae in the early Universe (Con-X 6.3.1)
- Determine the explosion mechanisms in supernovae where the heavy elements are created (ACT 6.3.2, 6.3.4)

RFA-C2 *Understand how matter, energy, and magnetic fields are exchanged between stars and the gas and dust between stars.*

- Observe the formation of the first generation of stars (SAFIR 6.3.1)
- Observe the evolution of the heavy elements through the history of the Universe (Con-X 6.3.1, 6.3.2)
- Determine the role of black holes in the formation of galaxies (Black Hole Finder Probe 6.3.3)
- Measure the nuclear burning and dynamics of supernovae (ACT, HSI 6.3.4)
- Map sources of annihilation radiation in the Milky Way galaxy and nearby galaxies (HSI, Black Hole Finder Probe 6.3.5)

RFA-C3 *Discover how gas flows in disks and how cosmic jets are formed.*

- Observe the emission from accretion disks around compact objects in all relevant bands of the electromagnetic spectrum (iARISE 6.3.2)
- Observe the motions of material flowing around the black hole at the center of the Milky Way galaxy (IR interferometer, Gen-X 6.3.3)
- Determine the physical processes giving rise to the formation of cosmic jets (Con-X 6.3.3)

RFA-C4 *Identify the sources of gamma-ray bursts and cosmic rays.*

- Enhance our understanding of the physical processes that give rise to gamma ray bursts (ACT 6.3.2, Black Hole Finder Probe 6.3.2)
- Identify the high-energy cosmic rays (ACCESS 6.3.7)
- Determine the amount and origin of the highest energy cosmic rays (OWL 6.3.7)

### **A.1.5 Objective C-2: Understand the development of structure in the Universe.**

RFA-C5 *Learn what physical process gave rise to galaxies and systems of galaxies.*

- Observe the formation of the first generation of stars and galaxies (SAFIR 6.3.1)
- Observe the formation of the first heavy elements in supernovae in the early Universe (Con-X 6.3.1)
- Determine the role of black holes in the formation of galaxies (Black Hole Finder Probe 6.3.3, Con-X 6.3.3)
- Determine the role of dark matter in the formation of galaxies (Inflation Probe 6.3.6)

RFA-C6 *Explore the behavior of matter in extreme astrophysical environments.*

- Observe extremes of density, pressure, temperature, and field energy in compact objects (Con-X, BHI, Gen-X, ACT, iARISE 6.3.3)
- Determine the physical processes giving rise to the formation of cosmic jets (Con-X 6.3.3)
- Measure the nuclear burning and dynamics of supernovae (Con-X, HSI, ACT, 6.3.4)
- Map sources of annihilation radiation in the Milky Way galaxy and nearby galaxies (HSI 6.3.5)

RFA-BE2 *Determine the size, shape, and energy content of the Universe.*

- Observe the formation of the first generation of stars and galaxies (SAFIR 6.3.1)
- Map the dark matter in the Universe (Con-X 6.3.6, Inflation Probe 6.3.6)

- Detect the absorption of light from distant quasars by dark matter (SUVO 6.3.6)

RFA-BE4 *Determine how black holes are formed and how they evolve.*

- Enhance our understanding of the physical processes that give rise to gamma ray bursts (ACT 6.3.2, Black Hole Finder Probe 6.3.2)
- Observe the emission from accretion disks around compact objects in all relevant bands of the electromagnetic spectrum (iARISE 6.3.3)
- Determine the role of black holes in the formation of galaxies (Black Hole Finder Probe 6.3.3, Con-X 6.3.3, Gen-X)

## A.2 Acronyms, Glossary

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## A.4 Index?