

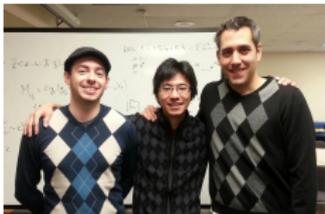
Probing strong-field gravity

Black holes and mergers in general relativity and beyond

Leo C. Stein (TAPIR, Caltech)

January 23, 2018 — CaJAGWR seminar

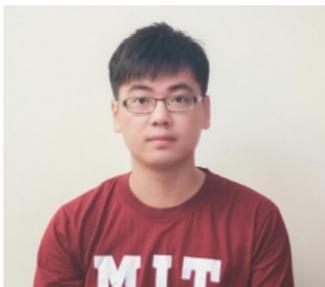
Preface



Me, Kent Yagi, Nico Yunes



Maria (Masha) Okounkova



Baoyi Chen



Many other colleagues,
SXS collaboration, taxpayers

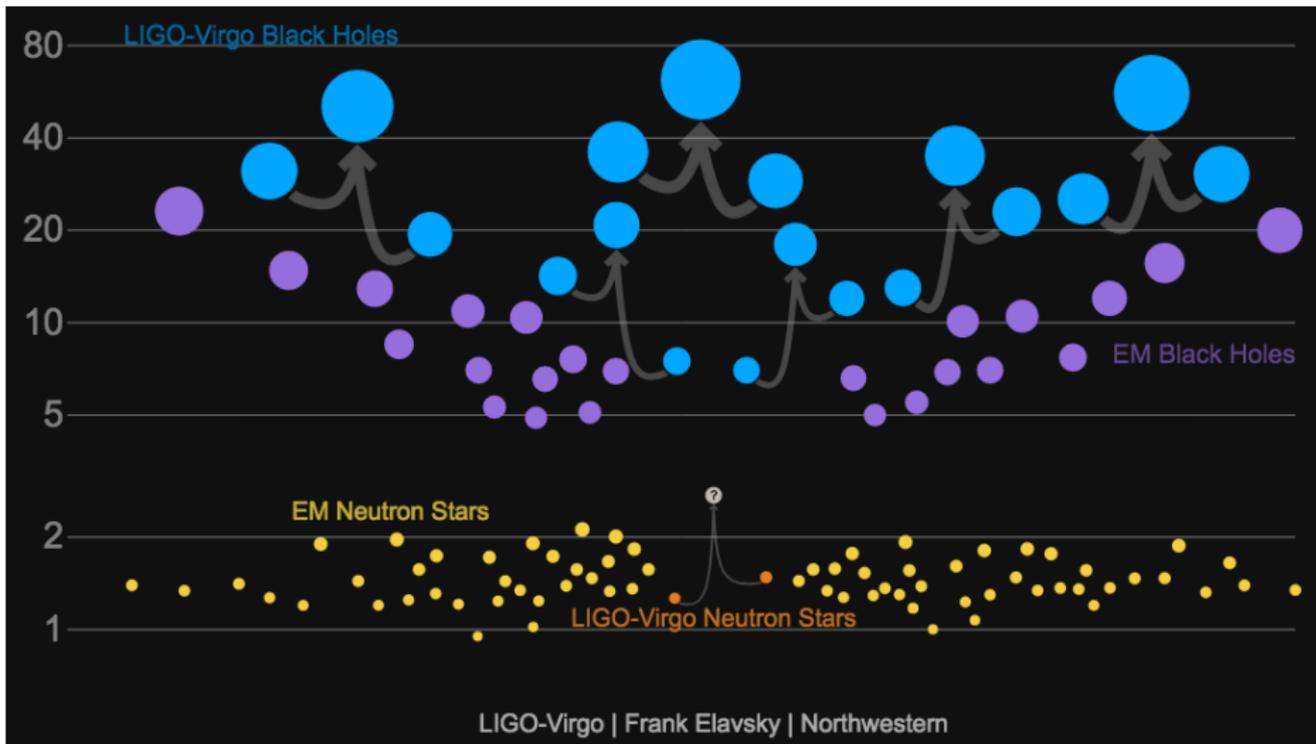
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Knowns and unknowns



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Gravitational waves are here to stay. Get as much science out as possible

- Binary black hole populations
 - Mass function, spins, clusters/fields, progenitors, evolution. . .

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- Neutron stars
 - GRB relation, central engine, r-process elements. . .
 - Dense nuclear equation of state?

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- Binary black hole populations
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- Neutron stars
 - GRB relation, central engine, r-process elements. . .
 - Dense nuclear equation of state?
- Testing general relativity

Why test GR?

General relativity successful but **incomplete**

$$G_{ab} = 8\pi\hat{T}_{ab}$$

- Can't have mix of quantum/classical
- GR not renormalizable
- GR+QM=new physics (e.g. BH information paradox)

Why test GR?

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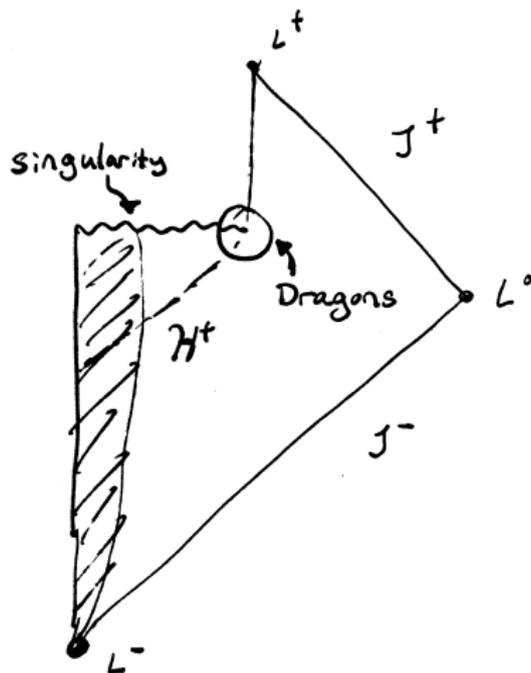
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Approach #1: **Theory**

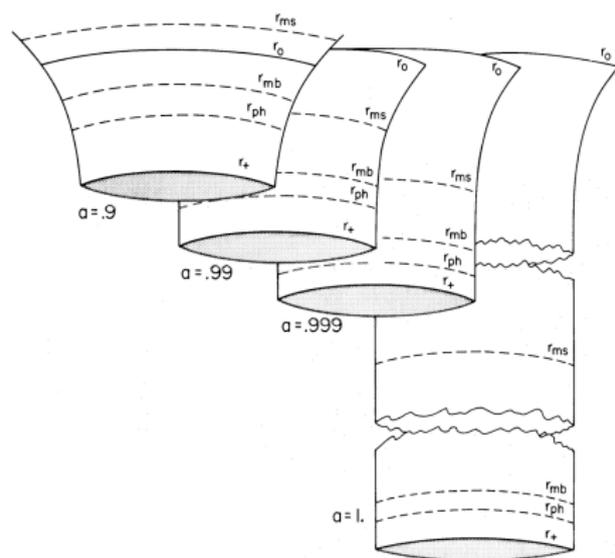
- Look for good UV completion \implies strings, loops, ...
- Deeper understanding of breakdown, quantum regime of GR
- Need to explore strong-field

Study black holes

- BH thermodynamics \implies breakdown
- GR+QM both important



Study black holes



[Bardeen, Press, Teukolsky (1972)]

- Nonrotating black holes: lots of symmetry, easy to study
- Rotating BHs: not enough symmetry; rely on (complicated) Teukolsky
- Near-horizon extremal Kerr simple again!
- Bonus: $T \rightarrow 0$,
most quantum black holes
- Recently showed Teukolsky not needed in NHEK [?]
Chen + LCS, PRD **96**, 064017 (2017) [arXiv:1707.05319]
- Kerr/CFT: are black holes a critical point?

Why test GR?

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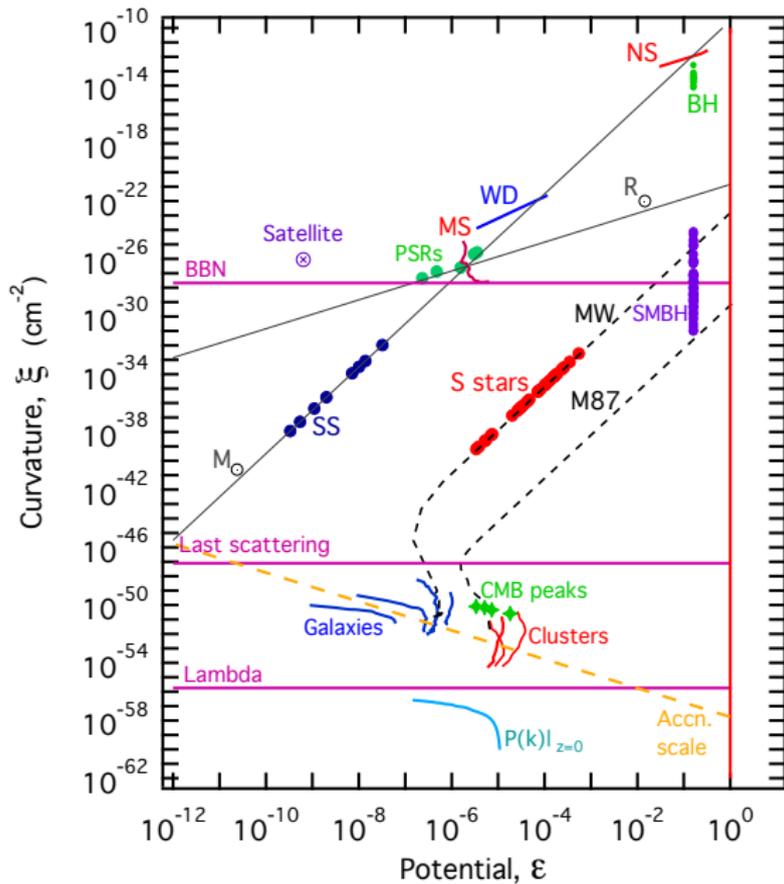
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Approach #2: **Empiricism**

Ultimate test of theory: ask nature

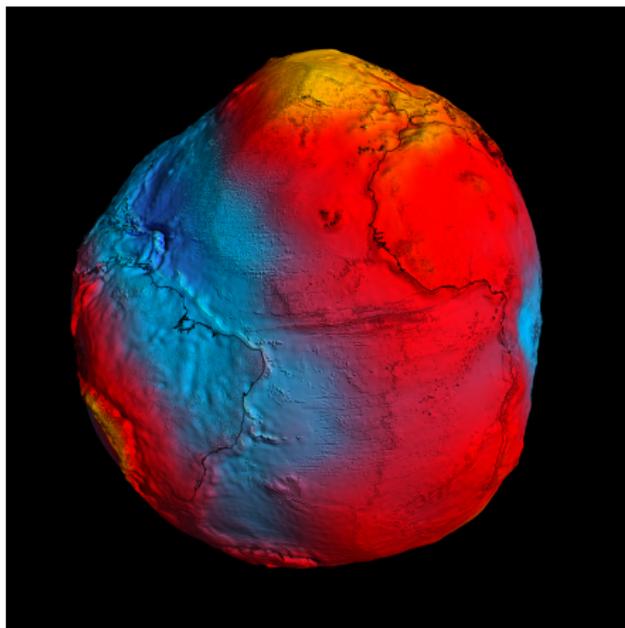
- So far, only **precision** tests are weak-field
- Lots of theories \approx GR
- Need to explore strong-field
 - Strong curvature • non-linear • dynamical



Big picture

- Before aLIGO: precision tests of GR in weak field
- Weak field: **distant binary** of black holes or neutron stars

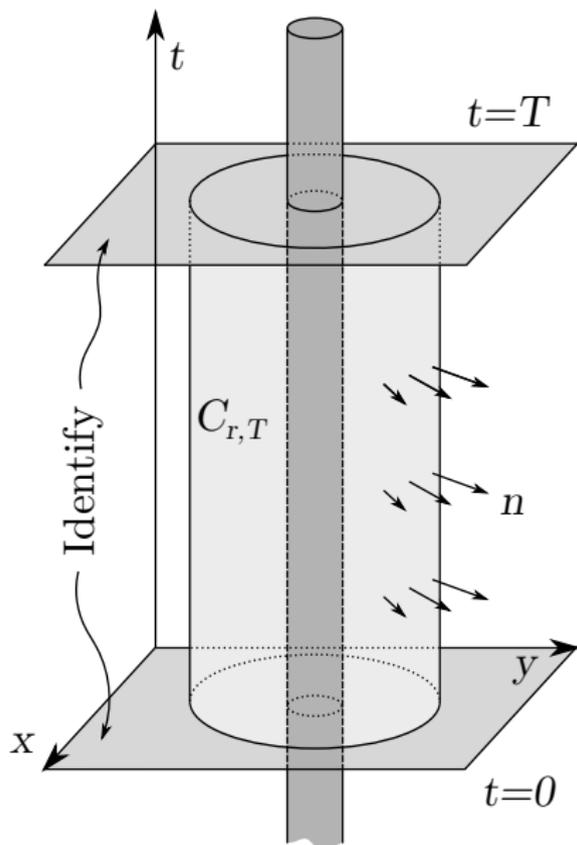
Distant compact binaries



- Post-Newtonian:
bodies are \sim point particles
- Motion of distant bodies boils down to multipoles
- Different theories, different moments (“hairs”)
 - Brans-Dicke: NS \checkmark , BH \times
 - EDGB: NS \times , BH \checkmark
 - DCS: dipoles
 - ...
- BH proof by Sotiriou, Zhou
- NS proof by Yagi, LCS, Yunes
PRD **93**, 024010 (2016)
[arXiv:1510.02152]



Distant compact binaries



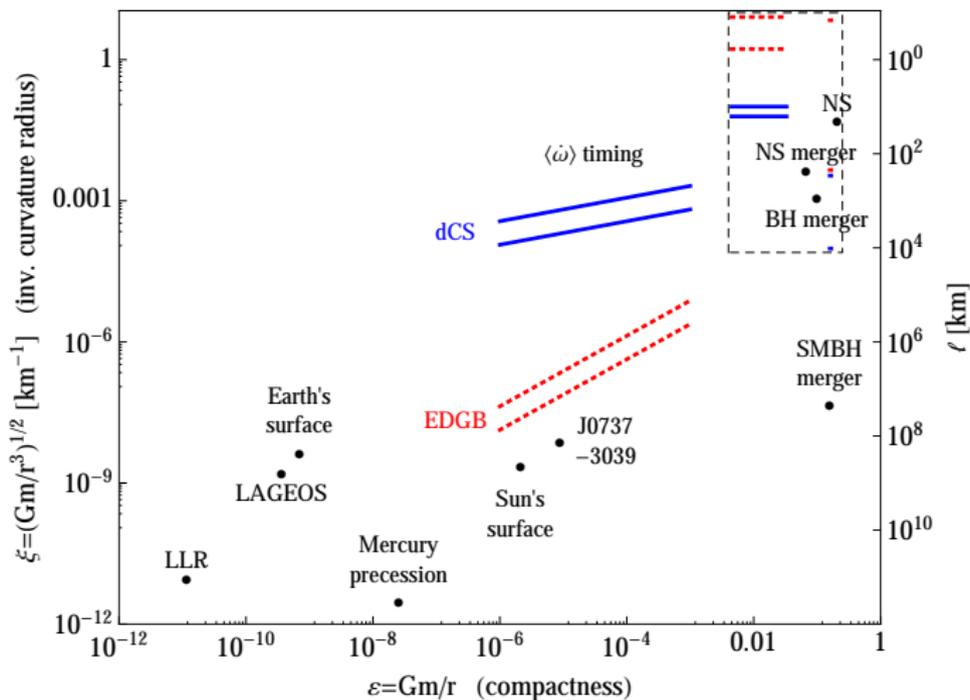
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Distant compact binaries

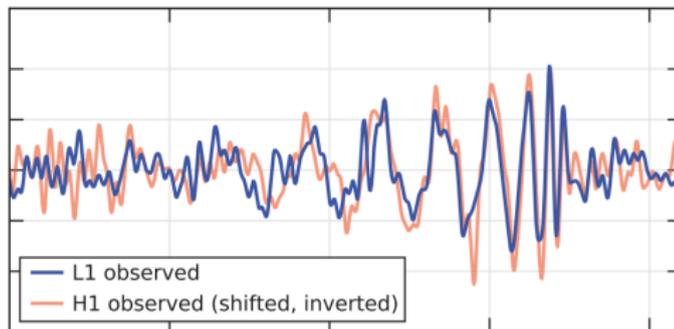
Parameterize over multipole moments: 

LCS, Yagi PRD **89**, 044026 (2014) [arXiv:1310.6743]



Big picture

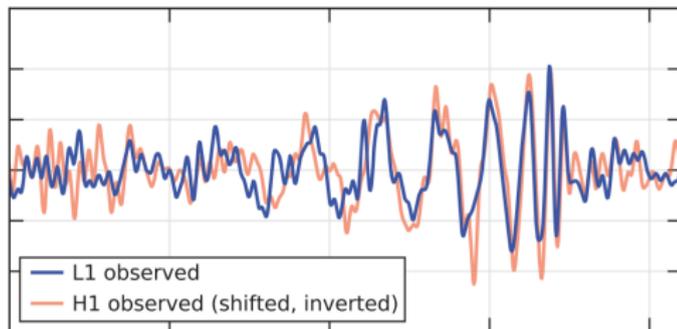
- Before aLIGO: precision tests of GR in weak field
- Weak field: **distant binary** of black holes or neutron stars
- Now: first direct measurements of dynamical, strong field regime



- Future: **precision** tests of GR in the **strong field**
 - Changing nuclear EOS is degenerate with changing gravity
 - Need black hole binary merger for **precision**

Big picture

- Before aLIGO: precision tests of GR in weak field
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- Future: **precision** tests of GR in the **strong field**
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Question: How to perform precision tests of GR in strong field?

How to perform precision tests

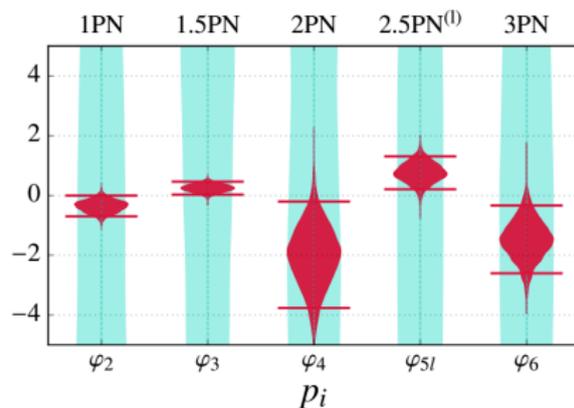
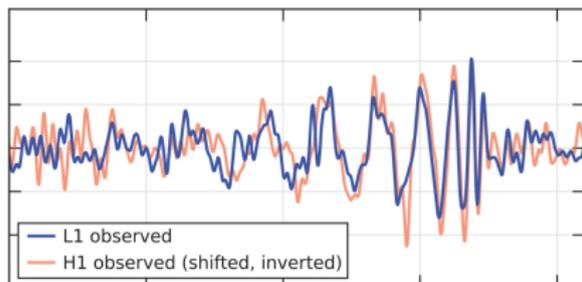
- Two approaches: theory-specific and theory-agnostic
- Agnostic: **parameterize**, e.g. PPN, PPE

Parameterized post-Einstein framework

- Insert power-law corrections to amplitude and phase ($u^3 \equiv \pi \mathcal{M} f$)

$$\tilde{h}(f) = \tilde{h}_{GR}(f) \times (1 + \alpha u^a) \times \exp[i\beta u^b]$$

- Parameters: (α, a, β, b)
- Inspired by **post-Newtonian** calculations in beyond-GR theories



How to perform precision tests

- Two approaches: theory-specific and theory-agnostic
- Agnostic: **parameterize**, e.g. PPN, PPE
- Want more powerful parameterization
- Don't know how to parameterize in strong-field!
- Need **guidance** from specific theories

How to perform precision tests

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Problem: Only simulated BBH mergers in GR!*

The problem

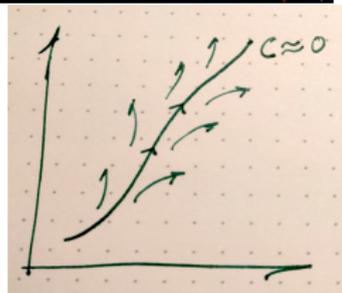
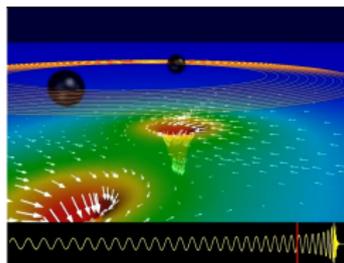
From Lehner+Pretorius 2014:

redshifts of $z \simeq 20$ with a SNR ≥ 10 . For a recent review see Seoane et al. (2013).] Compounding the problem, despite the large number of proposed alternatives or modifications to general relativity (see, for example, Will 1993, 2006), almost none have yet been presented that (a) are consistent with general relativity in the regimes where it is well tested, (b) predict observable deviations in the dynamical strong field relevant to vacuum mergers, and (c) possess a classically well-posed initial value problem to be amenable to numerical solution in the strong field. The notable exceptions are a subset of scalar tensor theories, though these require a time-varying cosmological scalar field for binary black hole systems (Horbatsch & Burgess 2012) or one or

Don't know if other theories have good initial value problem

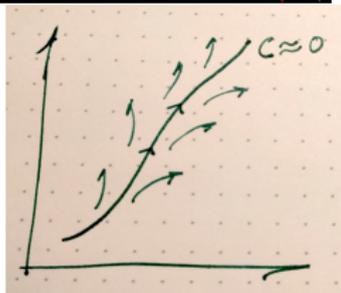
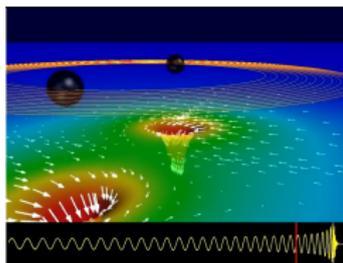
Numerical relativity

Numerical relativity



- Nonlinear, quasilinear, 2nd order hyperbolic PDE, 10 functions, 3+1 coordinates
- Attempts from '60s until 2005. Merging BHs for 13 years
- Want to evolve. How do you know if good IBVP? $?$
- Both under- and over-constrained.
 - gauge
 - constraints (not all data free; need constraint damping)
- Avoid singularities: punctures or excision

Numerical relativity



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Every other gravity theory will have at least these difficulties

Some other theories

“Scalar-tensor”:

$$G_{\mu\nu}^* = 2 \left(\partial_\mu \varphi \partial_\nu \varphi - \frac{1}{2} g_{\mu\nu}^* \partial_\sigma \varphi \partial^\sigma \varphi \right) - \frac{1}{2} g_{\mu\nu}^* V(\varphi) + 8\pi T_{\mu\nu}^*$$
$$\square_{g^*} \varphi = -4\pi \alpha(\varphi) T^* + \frac{1}{4} \frac{dV}{d\varphi}$$

BBH in S-T:

- Massless scalar $\implies \varphi \rightarrow 0$, agrees with GR
- Only differ if funny boundary or initial conditions

Hirschmann+ paper on Einstein-Maxwell-dilaton

Some other theories

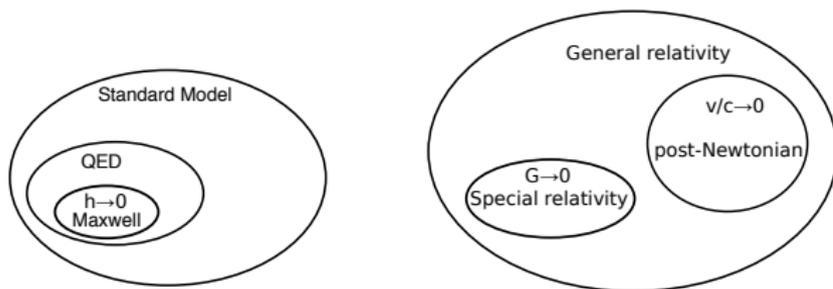
- Higher derivative EOMs
- Ostrogradski instability. H unbounded below
- Some theories try to avoid, e.g. Horndeski, dRGT
- Massive gravity theories. B-D ghost, cured by dRGT.
- Problems even with second-derivative EOMs:
If not quasi-linear, may have $(\partial_t\phi)^2 \simeq \text{Source}$, but ...
- Papallo and Reall papers on Lovelock, Horndeski, EdGB

(this whole slide )

A solution

A solution

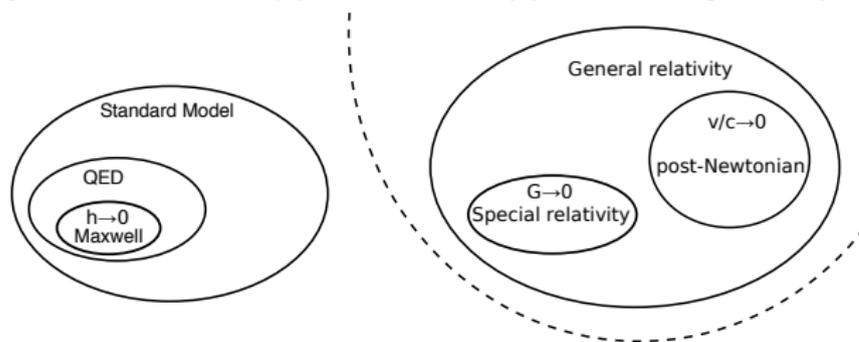
- Treat every theory as an **effective field theory** (EFT)
- Particle and condensed matter physicists always do this.
- Sorta do this for GR. **Valid** below some scale
- Theory only needs to be **approximate**, approximately well-posed



- Example: weak force below EWSB scale (lose unitarity above)

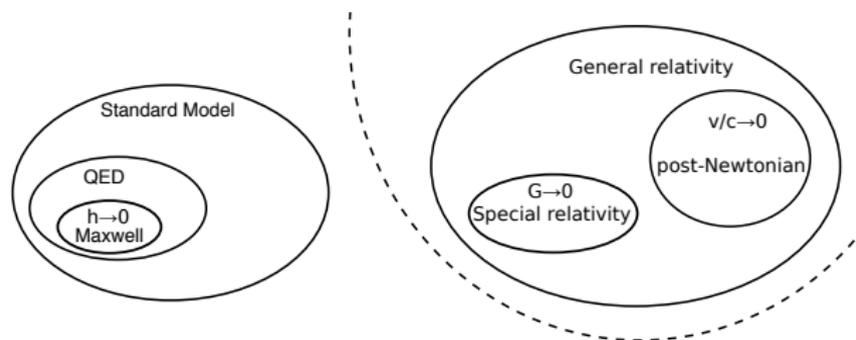
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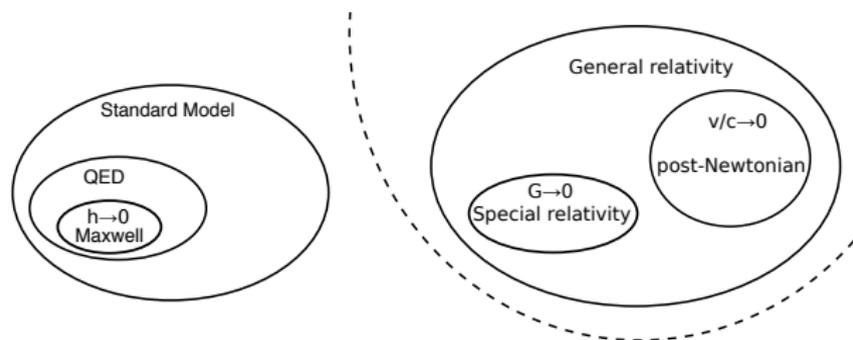
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A solution



- Same should happen in gravity EFT:
lose predictivity (bad initial value problem) above some scale
- Theory valid below cutoff $\Lambda \gg E$. Must recover GR for $\Lambda \rightarrow \infty$.
- Assume **weak coupling**, use **perturbation theory**

A solution



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Example: Dynamical Chern-Simons gravity

What is dynamical Chern-Simons gravity?

- Chern-Simons = GR + axion + interaction

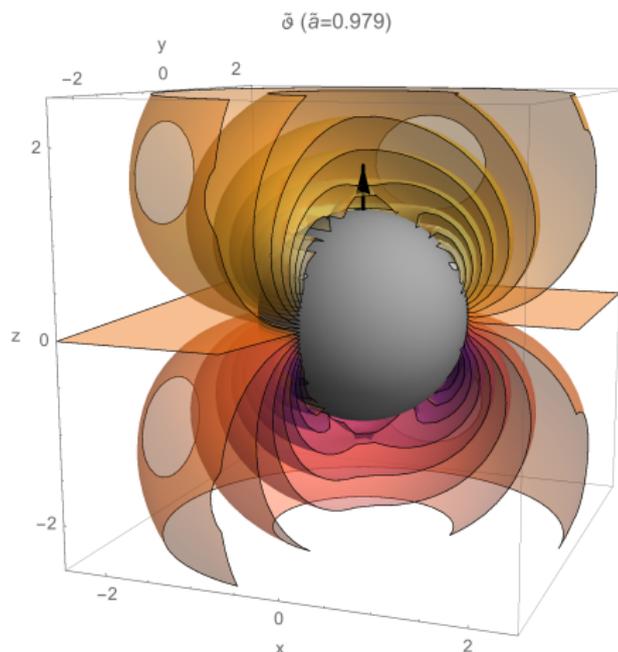
$$S = \int d^4x \sqrt{-g} \left[R - \frac{1}{2}(\partial\vartheta)^2 + \varepsilon \vartheta {}^*RR \right]$$

$$\square\vartheta = \varepsilon {}^*RR, \quad G_{ab} + \varepsilon C_{ab}[\partial\vartheta\partial^3g] = T_{ab}$$

- Anomaly cancellation, low-E string theory, LQG...
(see Nico's review Phys. Rept. **480** (2009) 1-55)
- Lowest-order EFT with parity-odd ϑ , shift symmetry (long range)
- Phenomenology unique from other R^2
(e.g. Einstein-dilaton-Gauss-Bonnet)
- Gravity version of QCD axion, sourced by rotation

Black holes in dCS

- $a = 0$ (Schwarzschild) is exact solution with $\vartheta = 0$
- Rotating BHs have dipole+ scalar hair



LCS, PRD **90**, 044061 (2014) [arXiv:1407.2350]

Black holes in dCS

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LCS, PRD **90**, 044061 (2014) [arXiv:1407.2350]
Extremal: QCG **33**, 235013 (2016) [arXiv:1512.05453]
Coming soon, NHEK (with Baoyi Chen)
- Post-Newtonian of BBH inspiral in
PRD **85** 064022 (2012) [arXiv:1110.5950]



- See also review
CQG **32** 243001 (2015) [arXiv:1501.07274]

Back to problem and solution

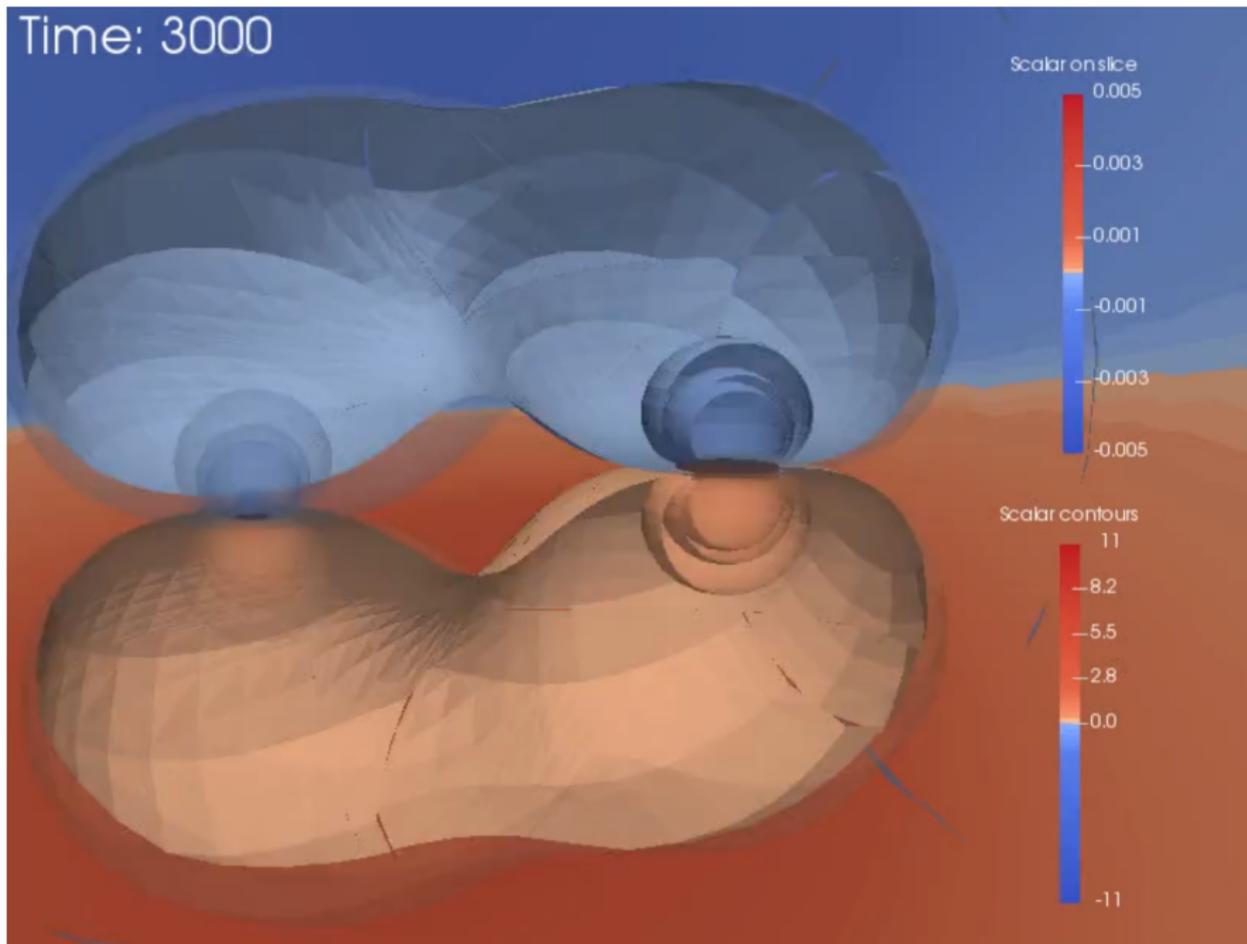
- DCS had principal part $\partial^3 g$ coming from C_{ab} tensor.
Probably not well-posed, Delsate+ PRD **91**, 024027.
- Theory is GR + $\varepsilon \times$ deformation. Expand everything in ε
- Derivation 
- At every order in ε , principal part is $\text{Princ}[G_{ab}]$

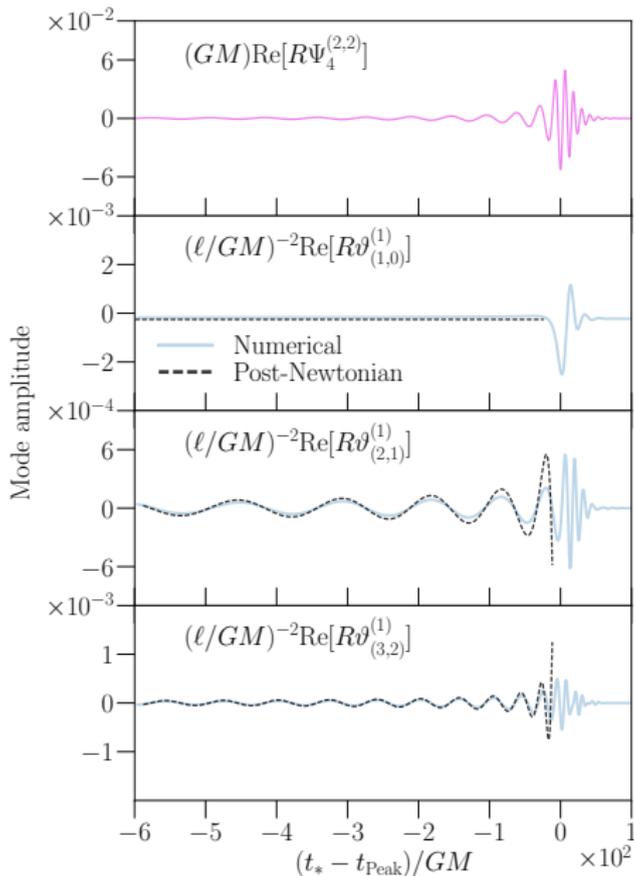
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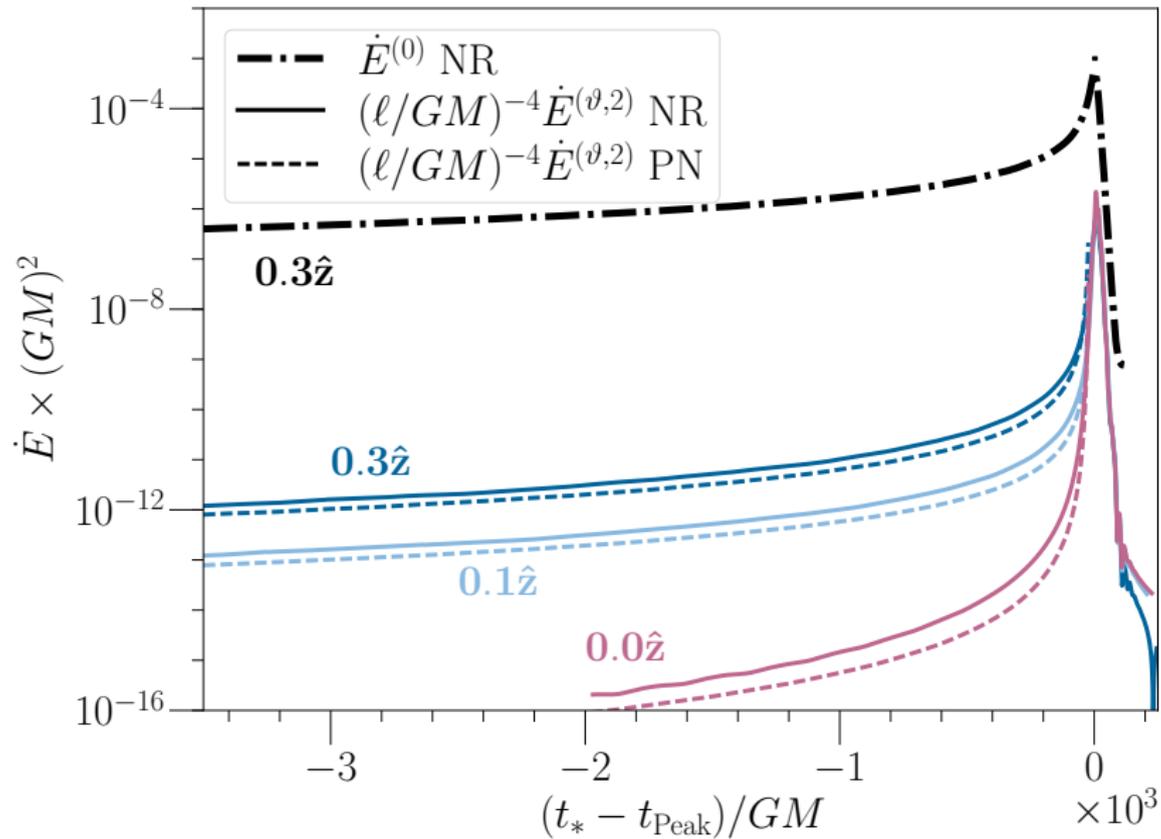
Background dynamics are well-posed \implies perturbations well-posed

Time: 3000





From Okounkova, LCS+, PRD **96**, 044020 (2017) [arXiv:1705.07924]



From Okounkova, LCS+, PRD **96**, 044020 (2017) [arXiv:1705.07924]

Next issues

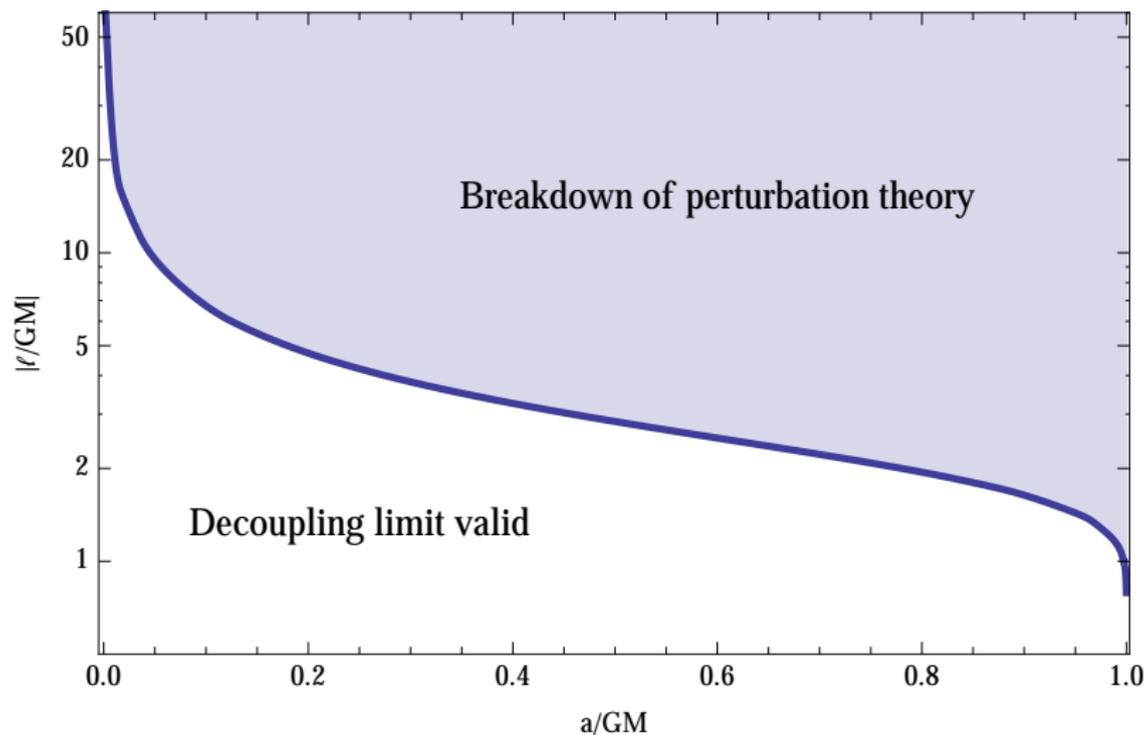
Gravitational waves at $\mathcal{O}(\varepsilon^2)$:

- Two sets of gauges, constraints
- Find stable gauge
 - Linearization of damped harmonic
 - But may experience secular drift (hint: Kerr PT )
- Regime of validity of perturbation scheme

$$\left\| \varepsilon^2 h^{(2)} \right\| \ll \left\| g^{(0)} \right\|$$

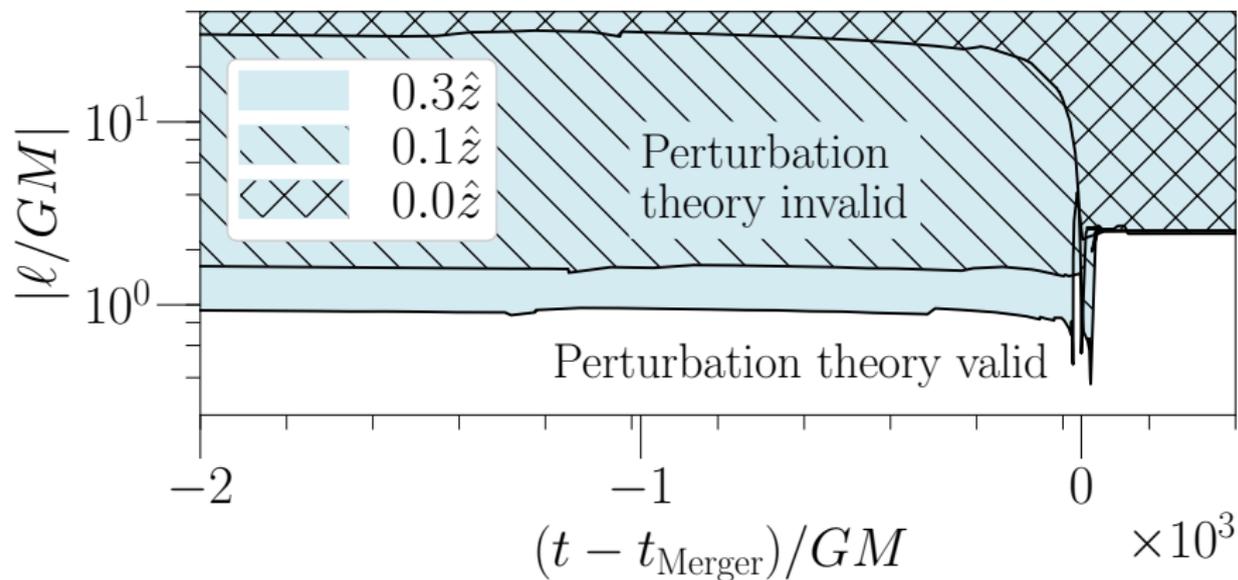
- Renormalization? See e.g. Galley and Rothstein [1609.08268]

Instantaneous regime of validity



From [LCS, PRD **90**, 044061 \(2014\) \[arXiv:1407.2350\]](#)

Instantaneous regime of validity



From Okounkova, LCS+, PRD **96**, 044020 (2017) [arXiv:1705.07924]

Secular regime of validity — dephasing

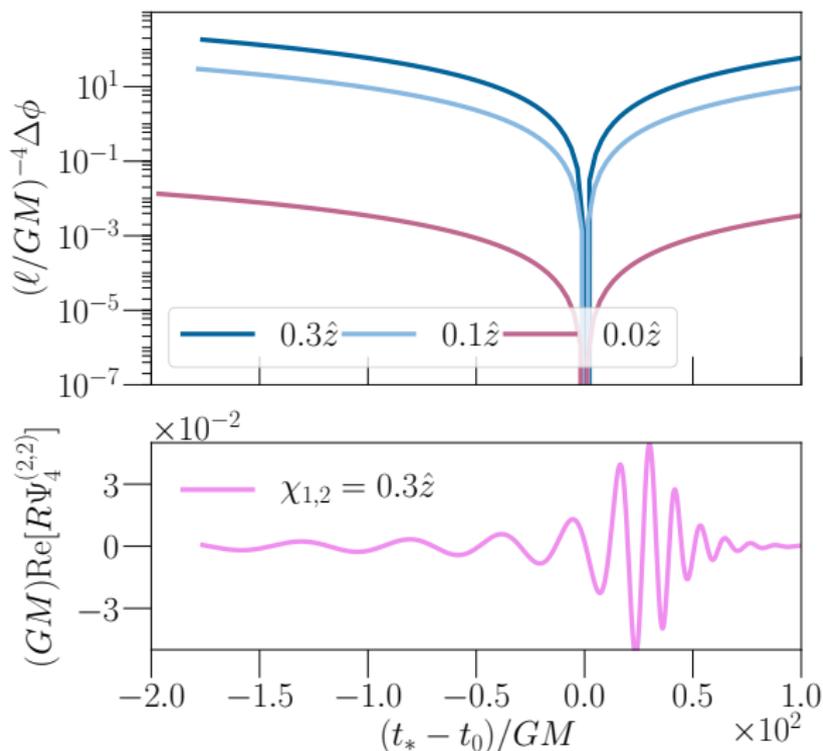
LIGO most sensitive to **phase**

- Expand phase in ε around time t_0

$$\begin{aligned}\phi &= \phi^{(0)} + \varepsilon^2 \Delta\phi + \mathcal{O}(\varepsilon^3), \\ \Delta\phi(t) &= \Delta\phi(t_0) + (t - t_0) \left. \frac{d\Delta\phi}{dt} \right|_{t=t_0} \\ &\quad + \frac{1}{2} (t - t_0)^2 \left. \frac{d^2\Delta\phi}{dt^2} \right|_{t=t_0} + \mathcal{O}(t - t_0)^3\end{aligned}$$

- Pretend orbits quasicircular, adiabatic $\implies E = E(\omega(t))$
- Use chain rule, relate $d\Delta\omega/dt$ to energy, flux

Secular regime of validity — dephasing



From Okounkova, LCS+, PRD **96**, 044020 (2017) [arXiv:1705.07924]

$$\Delta\phi_{\text{gw}} = 2\Delta\phi \lesssim \sigma_\phi$$

Spin	M bound	$M \approx 60M_\odot$
0.3	$\left(\frac{\ell}{GM}\right) \lesssim 0.13 \left(\frac{\sigma_\phi}{0.1}\right)^{1/4}$	$\ell \lesssim 11 \text{ km} \left(\frac{\sigma_\phi}{0.1}\right)^{1/4}$
0.1	$\left(\frac{\ell}{GM}\right) \lesssim 0.2 \left(\frac{\sigma_\phi}{0.1}\right)^{1/4}$	$\ell \lesssim 18 \text{ km} \left(\frac{\sigma_\phi}{0.1}\right)^{1/4}$
0.0	$\left(\frac{\ell}{GM}\right) \lesssim 1.4 \left(\frac{\sigma_\phi}{0.1}\right)^{1/4} \mathbf{x}$	—

7 orders of magnitude improvement over Solar System

Future work

Lots of work to do!

- Work in progress on $\mathcal{O}(\varepsilon^2)$
- Run lots of simulations
- Waveform modeling: build surrogates!

```
>>> import NRSur7dq2
```

- Study degeneracy
- Bayesian model selection with existing LIGO/Virgo detections
- Turn the crank: explore more theories
- Guide theory-agnostic parameterizations

- First binary black hole mergers in dCS
- Inspiral: qualitative agreement with analytics
- Merger: discovered new phenomenology, dipole burst
- Estimated $\Delta\phi$, bound on $\ell \lesssim \mathcal{O}(10)$ km
- For better bounds:
 - Higher SNR
 - Longer waveform/lower mass
 - Higher BH spins
- Working on $\mathcal{O}(\epsilon^2)$

For details, see

Okounkova, LCS+, PRD **96**, 044020 (2017) [arXiv:1705.07924]

- General relativity must be incomplete
- Understand theory better (NHEK work)
- LIGO: New opportunity to test GR in strong-field
- Present tests' shortcomings
 - Almost no theory-specific tests
 - Theory-independent tests need more guidance
- **Challenge:** Find spacetime solutions in theories beyond GR
 - Our contribution: First binary black hole mergers in dynamical Chern-Simons gravity
 - General method appropriate for many deformations of GR
- Still lots of work to do, stay tuned or get involved!

Other slides

PPN formalism for metric theories of gravity

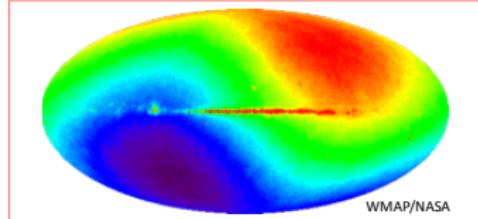
Metric:

$$g_{00} = -1 + 2U - 2\beta U^2 - 2\xi\Phi_W + (2\gamma + 2 + \alpha_3 + \zeta_1 - 2\xi)\Phi_1 + 2(3\gamma - 2\beta + 1 + \zeta_2 + \xi)\Phi_2 \\ + 2(1 + \zeta_3)\Phi_3 + 2(3\gamma + 3\zeta_4 - 2\xi)\Phi_4 - (\zeta_1 - 2\xi)\mathcal{A} - (\alpha_1 - \alpha_2 - \alpha_3)w^2 U - \alpha_2 w^i w^j U_{ij} \\ + (2\alpha_3 - \alpha_1)w^i V_i + \mathcal{O}(\epsilon^3),$$

$$g_{0i} = -\frac{1}{2}(4\gamma + 3 + \alpha_1 - \alpha_2 + \zeta_1 - 2\xi)V_i - \frac{1}{2}(1 + \alpha_2 - \zeta_1 + 2\xi)W_i - \frac{1}{2}(\alpha_1 - 2\alpha_2)w^i U \\ - \alpha_2 w^i U_{ij} + \mathcal{O}(\epsilon^{5/2}),$$

$$g_{ij} = (1 + 2\gamma U)\delta_{ij} + \mathcal{O}(\epsilon^2).$$

w : motion w.r.t. preferred reference frame



Metric potentials:

$$U = \int \frac{\rho'}{|\mathbf{x} - \mathbf{x}'|} d^3 x', \quad (\text{Newtonian potential})$$

$$U_{ij} = \int \frac{\rho'(x-x')_i(x-x')_j}{|\mathbf{x} - \mathbf{x}'|^3} d^3 x',$$

$$\Phi_W = \int \frac{\rho' \rho'' (\mathbf{x} - \mathbf{x}') \cdot (\mathbf{x}' - \mathbf{x}'')}{|\mathbf{x} - \mathbf{x}'|^3} \cdot \left(\frac{\mathbf{x}' - \mathbf{x}''}{|\mathbf{x} - \mathbf{x}''|} - \frac{\mathbf{x} - \mathbf{x}''}{|\mathbf{x}' - \mathbf{x}''|} \right) d^3 x' d^3 x'',$$

$$\mathcal{A} = \int \frac{\rho' [\mathbf{v}' \cdot (\mathbf{x} - \mathbf{x}')]^2}{|\mathbf{x} - \mathbf{x}'|^3} d^3 x',$$

$$\Phi_1 = \int \frac{\rho' v'^2}{|\mathbf{x} - \mathbf{x}'|} d^3 x',$$

$$\Phi_2 = \int \frac{\rho' U'}{|\mathbf{x} - \mathbf{x}'|} d^3 x',$$

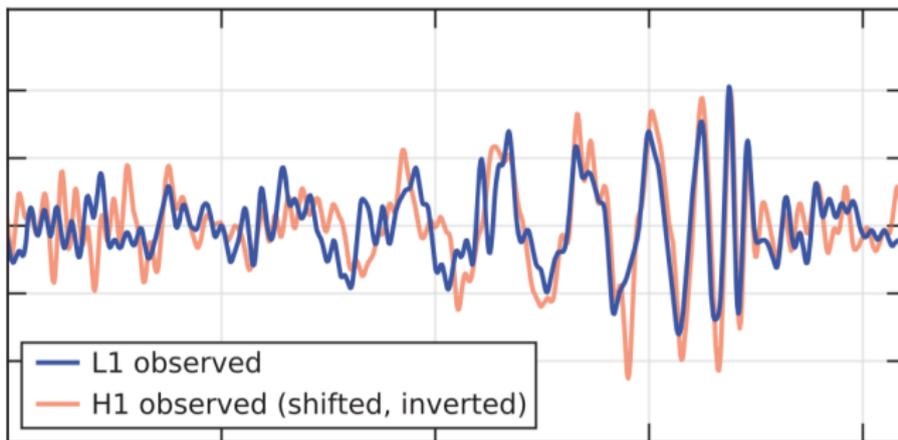
$$\Phi_3 = \int \frac{\rho' \Pi'}{|\mathbf{x} - \mathbf{x}'|} d^3 x',$$

$$\Phi_4 = \int \frac{\rho'}{|\mathbf{x} - \mathbf{x}'|} d^3 x',$$

$$V_i = \int \frac{\rho' v'_i}{|\mathbf{x} - \mathbf{x}'|} d^3 x',$$

$$W_i = \int \frac{\rho' [\mathbf{v}' \cdot (\mathbf{x} - \mathbf{x}')] (x-x')_i}{|\mathbf{x} - \mathbf{x}'|^3} d^3 x'.$$

[Will 1993, Will 2014, Living Reviews in Relativity]



PRL **116**, 221101 (2016)

Selected for a [Viewpoint](#) in *Physics*
PHYSICAL REVIEW LETTERS

week ending
3 JUNE 2016



Tests of General Relativity with GW150914

B. P. Abbott *et al.**

(LIGO Scientific and Virgo Collaborations)

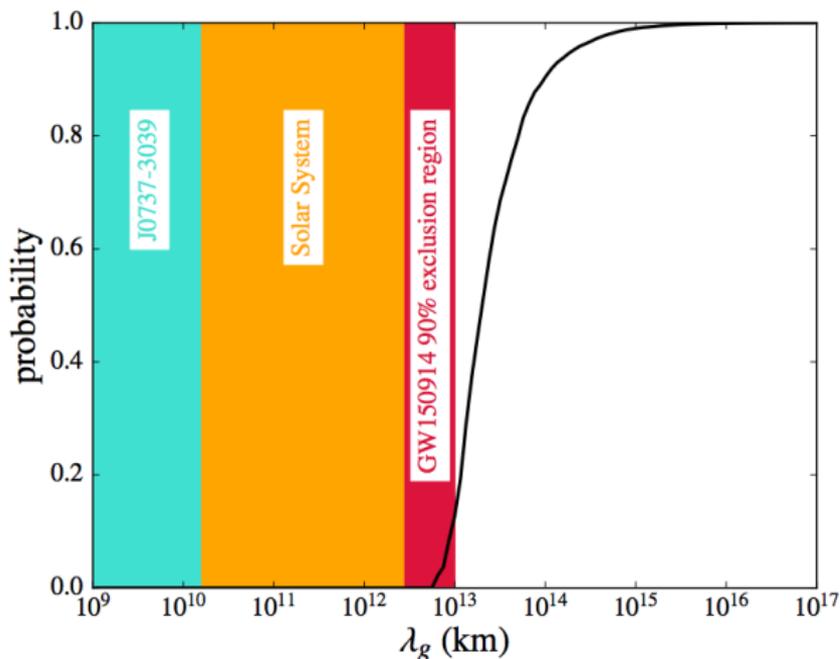
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The LIGO detection of GW150914 provides an unprecedented opportunity to study the two-body

LIGO's tests

Two tests I like:

- Any deviation from GR must be below 4% of signal power
- Test of dispersion relation



LIGO's tests

One test I do not particularly like:

- Insert power-law corrections to amplitude and phase ($u^3 \equiv \pi \mathcal{M} f$)

$$\tilde{h}(f) = \tilde{h}_{GR}(f) \times (1 + \alpha u^a) \times \exp[i\beta u^b]$$

- Parameters: (α, a, β, b)
- Inspired by **post-Newtonian** calculations in beyond-GR theories

